

**QUARTERLY STATEMENT**

**OF THE**

**First Allmerica Financial Life Insurance Company**

**TO THE**

**Insurance Department**

**OF THE**

**STATE OF**

**FOR THE QUARTER ENDED  
SEPTEMBER 30, 2021**

**LIFE, ACCIDENT AND HEALTH**

**FRATERNAL BENEFIT SOCIETIES**

**2021**



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

First Allmerica Financial Life Insurance Company

NAIC Group Code 3891 (Current) 3891 (Prior) NAIC Company Code 69140 Employer's ID Number 04-1867050

Organized under the Laws of Massachusetts, State of Domicile or Port of Entry MA

Country of Domicile United States of America

Licensed as business type: Life Accident and Health [X] Fraternal Benefit Societies [ ]

Incorporated/Organized 03/16/1844 Commenced Business 06/01/1845

Statutory Home Office 20 Guest Street Brighton MA US 02135

Main Administrative Office 20 Guest Street Brighton MA, US 02135

Mail Address 20 Guest Street Brighton, MA, US 02135

Primary Location of Books and Records 20 Guest Street Brighton MA, US 02135

Internet Website Address www.globalatlantic.com

Statutory Statement Contact Carrie Jo Thomas carrie.thomas@gafg.com

OFFICERS

President Robert Michael Arena Jr. Chief Financial Officer, Treasurer David Allen Jacoby

SVP, Associate General Counsel, Sec. Kathryn Lauren Freund SVP, Appointed Actuary Robert James Egan

OTHER

List of other officers including Anup Agarwal, Jason Alexander Bickler, Padma Elmgart, etc.

DIRECTORS OR TRUSTEES

Robert Michael Arena Jr., Hanben Kim Lee, Paula Genevieve Nelson #, etc.

State of Iowa County of Polk SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that the statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been complete in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is a exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

DocuSigned by: Robert Michael Arena, Jr. President

DocuSigned by: Kathryn Lauren Freund SVP, Associate General Counsel, Secretary

DocuSigned by: David Allen Jacoby Chief Financial Officer, Treasurer

Subscribed and sworn to before me this 11th day of October, 2021 Jennifer Coggins

a. Is this an original filing? Yes [X] No [ ] b. If no, 1. State the amendment number, 2. Date filed, 3. Number of pages attached.



## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	2,278,671,835		2,278,671,835	2,067,627,812
2. Stocks:				
2.1 Preferred stocks .....	1,600,000		1,600,000	
2.2 Common stocks .....				
3. Mortgage loans on real estate:				
3.1 First liens .....	5,661,891		5,661,891	5,897,583
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....				
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ .....11,362,859 ), cash equivalents (\$ .....83,583,469 ) and short-term investments (\$ .....1,159,288 ) .....	96,105,616		96,105,616	71,872,105
6. Contract loans (including \$ ..... premium notes) .....	43,231,085	3,789,682	39,441,403	44,509,106
7. Derivatives .....				1,528,656
8. Other invested assets .....	7,397,866		7,397,866	12,420,943
9. Receivables for securities .....	67		67	1,712,884
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	2,432,668,360	3,789,682	2,428,878,678	2,205,569,089
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	33,475,585		33,475,585	30,227,470
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	553,245	205,204	348,041	244,516
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	1,945,193		1,945,193	2,108,227
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	39,323,889		39,323,889	34,950,437
16.2 Funds held by or deposited with reinsured companies .....	589,459,688		589,459,688	588,720,713
16.3 Other amounts receivable under reinsurance contracts .....	51,881,155	248,866	51,632,289	28,130,200
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....	26,478,659		26,478,659	31,278,517
18.2 Net deferred tax asset .....				
19. Guaranty funds receivable or on deposit .....	40,120		40,120	119,316
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....				
24. Health care (\$ ..... ) and other amounts receivable .....	115,270	115,270		
25. Aggregate write-ins for other than invested assets .....	1,591,100		1,591,100	2,786,623
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	3,177,532,264	4,359,022	3,173,173,242	2,924,135,108
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	142,156,985		142,156,985	138,148,491
28. Total (Lines 26 and 27) .....	3,319,689,249	4,359,022	3,315,330,227	3,062,283,599
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....				
2501. Service fee receivable .....	171,180		171,180	1,254,752
2502. Pension annuity contract .....	1,417,743		1,417,743	1,531,871
2503. Third Party Funding .....	2,177		2,177	
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	1,591,100		1,591,100	2,786,623

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ .....581,060,277 less \$ ..... included in Line 6.3 (including \$ .....18,342,966 Modco Reserve).....	581,060,277	453,957,641
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve).....		
3. Liability for deposit-type contracts (including \$ ..... Modco Reserve).....	290,295,429	295,198,947
4. Contract claims:		
4.1 Life .....	27,854,614	12,063,762
4.2 Accident and health .....		
5. Policyholders' dividends/refunds to members \$ ..... and coupons \$ ..... due and unpaid .....	268,829	267,820
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ ..... Modco).....	100,178	136,295
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ ..... Modco).....		
6.3 Coupons and similar benefits (including \$ ..... Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ ..... accident and health premiums .....	45,067	38,502
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ .....11,679,305 assumed and \$ .....34,142,806 ceded .....	45,822,111	46,348,358
9.4 Interest Maintenance Reserve .....	41,055,145	27,558,769
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... , accident and health \$ ..... and deposit-type contract funds \$ .....	77,811	11,728
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued .....	1,380,707	1,751,094
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....	(3,007,980)	(3,450,308)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	329,509	928,315
15.1 Current federal and foreign income taxes, including \$ ..... on realized capital gains (losses) .....	769,569	769,142
15.2 Net deferred tax liability .....	400	217
16. Unearned investment income .....	83,497	83,912
17. Amounts withheld or retained by reporting entity as agent or trustee .....		
18. Amounts held for agents' account, including \$ ..... agents' credit balances .....		
19. Remittances and items not allocated .....	24,984,318	17,657,575
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....	3,115,378	3,048,014
22. Borrowed money \$ ..... and interest thereon \$ .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	14,307,785	12,300,365
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....	750,525,006	701,175,163
24.04 Payable to parent, subsidiaries and affiliates .....	1,668,571	1,493,059
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....	1,226,882,929	1,222,836,683
24.08 Derivatives .....	48,345	227,995
24.09 Payable for securities .....	34,151,744	859,133
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	12,300,928	13,314,677
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	3,054,120,167	2,808,576,857
27. From Separate Accounts Statement .....	142,156,985	138,148,491
28. Total liabilities (Lines 26 and 27) .....	3,196,277,152	2,946,725,348
29. Common capital stock .....	5,000,010	5,000,010
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....		
32. Surplus notes .....		
33. Gross paid in and contributed surplus .....	97,062,043	452,977,661
34. Aggregate write-ins for special surplus funds .....	2,250,000	2,250,000
35. Unassigned funds (surplus) .....	14,741,022	(344,669,420)
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	114,053,065	110,558,241
38. Totals of Lines 29, 30 and 37 .....	119,053,075	115,558,251
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	3,315,330,227	3,062,283,599
<b>DETAILS OF WRITE-INS</b>		
2501. Funds withheld other liabilities .....	10,391,526	10,397,278
2502. Derivative collateral .....	480,000	
2503. Other liabilities .....	664,402	1,917,399
2598. Summary of remaining write-ins for Line 25 from overflow page .....	765,000	1,000,000
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	12,300,928	13,314,677
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....		
3401. Contingency reserves .....	2,250,000	2,250,000
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	2,250,000	2,250,000

## SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	173,950,140	12,077,419	(921,930,887)
2. Considerations for supplementary contracts with life contingencies	218,114	204,955	283,633
3. Net investment income	70,640,883	81,731,451	105,731,251
4. Amortization of Interest Maintenance Reserve (IMR)	3,130,475	3,751,398	5,278,803
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	6,491,123	6,910,641	(22,666,195)
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	13,350,673	13,255,093	17,831,969
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	8,191,869	34,069,558	33,382,251
9. Totals (Lines 1 to 8.3)	275,973,277	152,000,515	(782,089,176)
10. Death benefits	7,604,077	34,654,519	44,025,575
11. Matured endowments (excluding guaranteed annual pure endowments)	1,484	749	749
12. Annuity benefits	17,486,242	18,972,621	28,228,934
13. Disability benefits and benefits under accident and health contracts	37,139	253,653	262,359
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	9,541,792	24,640,765	28,211,439
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	(294,863)	(438,632)	(654,934)
18. Payments on supplementary contracts with life contingencies			
19. Increase in aggregate reserves for life and accident and health contracts	127,102,635	(20,013,311)	(917,996,799)
20. Totals (Lines 10 to 19)	161,478,506	58,070,364	(817,922,677)
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	949,837	1,195,997	1,554,160
22. Commissions and expense allowances on reinsurance assumed	9,887,446	3,762,690	4,961,401
23. General insurance expenses and fraternal expenses	8,592,926	7,922,760	11,511,446
24. Insurance taxes, licenses and fees, excluding federal income taxes	2,061,563	2,397,145	3,051,040
25. Increase in loading on deferred and uncollected premiums	(115,002)	(94,796)	5,619,897
26. Net transfers to or (from) Separate Accounts net of reinsurance	(835,085)	(591,085)	(607,732)
27. Aggregate write-ins for deductions	98,860,688	73,960,516	56,484,604
28. Totals (Lines 20 to 27)	280,880,879	146,623,591	(735,347,861)
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(4,907,602)	5,376,924	(46,741,315)
30. Dividends to policyholders and refunds to members	43,330	321,654	(46,749)
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(4,950,932)	5,055,270	(46,694,566)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	3,325,132	(1,800,912)	(35,625,283)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(8,276,064)	6,856,182	(11,069,283)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 885,264 (excluding taxes of \$ 589,463 transferred to the IMR)	(807,922)	(2,645,901)	506,133
35. Net income (Line 33 plus Line 34)	(9,083,986)	4,210,281	(10,563,150)
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year	115,558,251	245,634,758	245,634,758
37. Net income (Line 35)	(9,083,986)	4,210,281	(10,563,150)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 155,618	560,617	(4,339,343)	(6,788,128)
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	155,192	434,472	(12,128,329)
41. Change in nonadmitted assets	(705,415)	496,071	2,432,738
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(2,007,421)	(536,128)	(999,812)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in	(355,915,618)		(100,000,000)
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance	14,575,837	(1,570,201)	(2,029,827)
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	355,915,618		
54. Net change in capital and surplus for the year (Lines 37 through 53)	3,494,824	(1,304,848)	(130,076,507)
55. Capital and surplus, as of statement date (Lines 36 + 54)	119,053,075	244,329,910	115,558,251
<b>DETAILS OF WRITE-INS</b>			
08.301. Mortality fee income	22,474,913	25,261,065	33,269,052
08.302. Net assumed adjustment to IMR	(14,409,349)		
08.303. FWH assumed realized loss	479,441	(43,613)	(139,942)
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	(353,136)	8,852,106	253,141
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	8,191,869	34,069,558	33,382,251
2701. Net change in deposit liabilities	46,068,510	46,047,834	58,504,878
2702. Funds withheld ceded net investment income	50,733,111	26,839,885	34,578,602
2703. Miscellaneous expense	2,059,067	1,072,797	(36,598,876)
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	98,860,688	73,960,516	56,484,604
5301. Restatement of unassigned funds under quasi-reorganization	355,915,618		
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	355,915,618		

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	11,185,210	12,536,790	17,030,447
2. Net investment income .....	63,685,411	71,921,564	95,273,741
3. Miscellaneous income .....	7,249,305	34,157,717	1,991,200
4. Total (Lines 1 to 3) .....	82,119,926	118,616,071	114,295,388
5. Benefit and loss related payments .....	23,484,717	88,608,096	106,139,450
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	(1,277,414)	(47,765)	(925,124)
7. Commissions, expenses paid and aggregate write-ins for deductions .....	106,044,930	84,736,274	115,436,514
8. Dividends paid to policyholders .....	78,439	315,445	190,732
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....			3,329,336
10. Total (Lines 5 through 9) .....	128,330,672	173,612,050	224,170,908
11. Net cash from operations (Line 4 minus Line 10) .....	(46,210,746)	(54,995,979)	(109,875,520)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	311,346,007	150,732,503	323,051,559
12.2 Stocks .....			
12.3 Mortgage loans .....	187,984	3,719,734	3,780,734
12.4 Real estate .....			
12.5 Other invested assets .....	5,942,227	35,164,326	905,100
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	1,199	(10,589)	(11,488)
12.7 Miscellaneous proceeds .....	3,241,473	1,299,775	28,234,129
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	320,718,890	190,905,749	355,960,034
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	282,800,000	146,922,535	140,856,573
13.2 Stocks .....	1,600,000		
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....	209,177	34,183,441	508,609
13.6 Miscellaneous applications .....	(33,292,611)		691,140
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	251,316,566	181,105,976	142,056,322
14. Net increase (or decrease) in contract loans and premium notes .....	(4,478,048)	(815,051)	(2,477,118)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	73,880,372	10,614,824	216,380,830
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			(100,000,000)
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(4,903,518)	(3,122,778)	(4,079,237)
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	1,467,404	(20,641,010)	(51,926,847)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(3,436,114)	(23,763,788)	(156,006,084)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	24,233,512	(68,144,943)	(49,500,774)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	71,872,104	121,372,878	121,372,878
19.2 End of period (Line 18 plus Line 19.1) .....	96,105,616	53,227,935	71,872,104

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Non-cash tax free exchange on bonds .....		13,077,508	10,258,628
20.0002. Tax free exchange on bonds .....			3,495,390
20.0003. Non-cash premiums ceded on a funds withheld basis .....			927,937,273
20.0004. Assumed premiums from reinsurance transactions .....	233,088,097		
20.0005. Assumed accrued investment income to settle reinsurance transactions .....	(2,179,187)		
20.0006. Bonds received to settle reinsurance transactions .....	(230,908,910)		
20.0007. Ceded premiums from reinsurance transactions .....	(69,968,351)		
20.0008. Non cash ceded commissions .....	(2,850,000)		

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0009. FVH payable and deposit assets on reinsurance ceded .....	72,818,351	.....	.....
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## EXHIBIT 1

### DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....			
2. Ordinary life insurance .....	38,945,345	39,125,073	50,029,101
3. Ordinary individual annuities .....	210,521	109,443	249,132
4. Credit life (group and individual) .....			
5. Group life insurance .....	2,375,698	2,320,749	3,164,831
6. Group annuities .....	444,583	632,608	1,615,943
7. A & H - group .....			
8. A & H - credit (group and individual) .....			
9. A & H - other .....	38,841	65,733	67,981
10. Aggregate of all other lines of business .....			
11. Subtotal (Lines 1 through 10) .....	42,014,988	42,253,606	55,126,987
12. Fraternal (Fraternal Benefit Societies Only) .....			
13. Subtotal (Lines 11 through 12) .....	42,014,988	42,253,606	55,126,987
14. Deposit-type contracts .....		573,616	
15. Total (Lines 13 and 14)	42,014,988	42,827,222	55,126,987
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

## Notes to the Financial Statements

### 1. Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

First Allmerica Financial Life Insurance Company (FAFLIC or the Company) is a stock life insurance company organized under the laws of the Commonwealth of Massachusetts, and is a wholly-owned subsidiary of the Commonwealth Annuity and Life Insurance Company (Commonwealth Annuity). FAFLIC insures and reinsures blocks of traditional life, retirement products, and fixed annuities. The Company has issued such products as variable annuities, variable life products, and certain accident & health products, which have been reinsured with and are administered by reinsurers.

The financial statements of FAFLIC are completed in accordance with those statutory accounting practices prescribed or permitted by the Commonwealth of Massachusetts. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been fully adopted as a component of prescribed or permitted practices by the Commonwealth of Massachusetts. The Commonwealth of Massachusetts has not issued any permitted practices to the Company.

	SSAP #	F/S Page	F/S Line #	09/30/2021	12/31/2020
Net Income					
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ (9,083,986)	\$ (10,563,150)
(2) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(3) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ (9,083,986)</u>	<u>\$ (10,563,150)</u>
Surplus					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 119,053,075	\$ 115,558,251
(6) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(7) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 119,053,075</u>	<u>\$ 115,558,251</u>

#### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

#### C. Accounting Policy

Life premiums are recognized as income over the premium paying period of the related policies. Annuity considerations are recognized as income when received. Deposits on deposit-type contracts are entered directly as a liability when received. Treaties that do not meet the definition of risk transfer are recorded under the rules of deposit accounting as prescribed in Statement of Statutory Accounting Principles (SSAP) No. 61R – *Life, Deposit-Type and Accident and Health Reinsurance*. Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred. The amount of dividends to be paid to policyholders is determined annually by the Company's Board of Directors. The aggregate amount of policyholder dividends is set such that anticipated revenue from the Closed Block is expected to be sufficient to support future payments for claims, certain expenses and taxes and to provide for the continuation of the dividend scales set in that year. In addition, the Company uses the following accounting policies:

- (1) Short-term investments that have original maturities of greater than three months and less than twelve months at date of purchase are carried at amortized cost, which approximates fair value.
- (2) Bonds not backed by other loans are stated at amortized cost or fair value, using the modified scientific method, in accordance with the NAIC Purposes and Procedures Manual of the Capital Markets and Investment Analysis Office. The Company does not hold SVO - designated securities which would be valued using a systematic value measurement method.
- (3) Common stocks are carried at fair value, except investments in stocks of uncombined subsidiaries and affiliates in which the Company has an interest of 10% or more are carried on the equity basis. The Company does not have any common stock.
- (4) The Company does not have any preferred stocks.
- (5) Mortgage loans are stated at amortized cost or fair value, in accordance with the NAIC Purposes and Procedures Manual of the Capital Markets and Investment Analysis Office.
- (6) Loan-backed bonds and structured securities are stated at amortized cost using the interest method including anticipated prepayments at the date of purchase in accordance with SSAP No. 43R - *Loan-Backed and Structured Securities*. Changes in prepayment speeds and estimated cash flows from the original purchase assumptions are evaluated quarterly and are accounted for on the prospective basis.
- (7) The Company does not have any investments in subsidiary, controlled and affiliated companies.
- (8) The Company has ownership interests in limited partnerships, joint ventures, or limited liability entities. The Company carries these interests based upon their proportionate share of the underlying GAAP equity of the investment.
- (9) Derivative instruments are accounted for at fair value. The changes in the fair market value of the derivative instruments are recorded as unrealized gains or unrealized losses until termination.
- (10) The Company does not anticipate investment income as a factor in the premium deficiency calculation.
- (11) Claim reserves are established equal to 100% of the estimated benefit payable.
- (12) The Company did not have a change in the capitalization policy or resultant predefined thresholds from the prior year.
- (13) The Company does not have any pharmaceutical rebate receivables.

#### D. Going Concern

There is no substantial doubt about the Company's ability to continue as a going concern.

## Notes to the Financial Statements

**2. Accounting Changes and Corrections of Errors** - Not Applicable

**3. Business Combinations and Goodwill**

- A. Statutory Purchase Method - Not Applicable
- B. Statutory Merger - Not Applicable
- C. Assumption Reinsurance - Not Applicable
- D. Impairment Loss - Not Applicable

**4. Discontinued Operations**

The Company does not have any discontinued operations.

**5. Investments**

A. Mortgage Loans, including Mezzanine Real Estate Loans

- (1) Maximum and minimum lending rates for mortgage loans - Not Applicable
- (2) The maximum percentage of any one admitted loan to the value of the security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgages during 2021 was 75.0%.
- (3) Taxes, assessments and any amounts advanced and not included in mortgage loan total - None

**Notes to the Financial Statements**

**5. Investments (Continued)**

(4) Age analysis of mortgage loans and identification of mortgage loans in which the insurer is a participant or co-lender in a mortgage loan agreement

	Farm	Residential		Commerical		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. Recorded Investment (All)							
(a) Current	\$	\$	\$	\$	5,661,891	\$	5,661,891
(b) 30 - 59 days past due							
(c) 60 - 89 days past due							
(d) 90 - 179 days past due							
(e) 180+ days past due							
2. Accruing Interest 90-179 Days Past Due							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Interest accrued							
3. Accruing Interest 180+ Days Past Due							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Interest accrued							
4. Interest Reduced							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Number of loans							
(c) Percent reduced	%	%	%	%	%	%	%
5. Participant or Co-lender in a Mortgage Loan Agreement							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
b. Prior Year							
1. Recorded Investment							
(a) Current	\$	\$	\$	\$	5,897,583	\$	5,897,583
(b) 30 - 59 days past due							
(c) 60 - 89 days past due							
(d) 90 - 179 days past due							
(e) 180+ days past due							
2. Accruing Interest 90-179 Days Past Due							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Interest accrued							
3. Accruing Interest 180+ Days Past Due							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Interest accrued							
4. Interest Reduced							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Number of loans							
(c) Percent reduced	%	%	%	%	%	%	%
5. Participant or Co-lender in a Mortgage Loan Agreement							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$

(5) Investment in impaired loans with or without allowance for credit losses and impaired loans subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan - Not Applicable

(6) Investment in impaired loans - average recorded investment, interest income recognized, recorded investment on nonaccrual status and amount of interest income recognized using a cash-basis method of accounting - None

(7) Allowance for credit losses - Not Applicable

(8) Mortgage loans derecognized as a result of foreclosure - Not Applicable

(9) Policy for recognizing interest income and impaired loans - Not Applicable

B. Debt Restructuring - Not Applicable

C. Reverse Mortgages - Not Applicable

**Notes to the Financial Statements**

**5. Investments (Continued)**

D. Loan-Backed Securities

- (1) Loan-backed and structured securities (LBASS) are valued and reported in accordance with SSAP No. 43R – *Loan-Backed and Structured Securities*. Prepayment assumptions are primarily obtained from external sources or internal estimates. These assumptions are consistent with the current interest rate and economic environment. The prospective adjustment method is used on most non-agency LBASS. Fair values are based on independent pricing sources. The Company reviews securities at least quarterly for other-than-temporary impairments (OTTI) using current cash flow assumptions. The Company has recognized an OTTI charge on loan-backed securities of \$42,064 and \$0 for the periods ended September 30, 2021 and December 31, 2020, respectively.
- (2) Loan-backed and structured securities with a recognized other-than-temporary impairment (OTTI) - Not Applicable
- (3) Securities held that were other-than-temporarily impaired due to the present value of cash flows expected to be collected was less than the amortized cost of securities

(1)	(2)	(3)	(4)	(5)	(6)	(7)
CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized OTTI	Amortized Cost After OTTI	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
...45661KAA8...	\$..... 1,695,192	\$..... 1,653,128	\$..... 42,064	\$..... 1,653,128	\$..... 1,653,128	.....03/31/2021.....
<b>Total</b>			<u>\$..... 42,064</u>			

- (4) All impaired securities for which an OTTI has not been recognized in earnings as a realized loss
  - a. The aggregate amount of unrealized losses:
    - 1. Less than 12 months..... \$..... (231,488)
    - 2. 12 months or longer..... (24,099)
  - b. The aggregate related fair value of securities with unrealized losses:
    - 1. Less than 12 months..... \$..... 14,355,283
    - 2. 12 months or longer..... 212,208
- (5) The Company evaluates whether credit impairment exists by considering primarily the following factors: a) changes in the financial condition, credit rating and near term prospects of the issuer, b) whether the issuer is current on contractually obligated interest and principal payments, c) changes in the financial condition of the security's underlying collateral, d) the payment structure of the security and e) the length of time and extent to which the fair value has been less than amortized cost of the security.

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - Not Applicable
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - Not Applicable
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - Not Applicable
- H. Repurchase Agreements Transactions Accounted for as a Sale - Not Applicable
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - Not Applicable
- J. Real Estate - Not Applicable
- K. Low-Income Housing Tax Credits (LIHTC) - Not Applicable
- L. Restricted Assets

- (1) Restricted assets (including pledged) - No Significant Changes
- (2) Detail of assets pledged as collateral not captured in other categories (contracts that share similar characteristics, such as reinsurance and derivatives, are reported in the aggregate) - Not Applicable
- (3) Detail of other restricted assets (contracts that share similar characteristics, such as reinsurance and derivatives, are reported in the aggregate) - None

**Notes to the Financial Statements**

**5. Investments (Continued)**

(4) Collateral received and reflected as assets within the reporting entity's financial statements

Collateral Assets	(1) Book/Adjusted Carrying Value (BACV)	(2) Fair Value	(3) % of BACV to Total Assets (Admitted and Nonadmitted)	(4) % of BACV to Total Admitted Assets
General Account:				
a. Cash, cash equivalents and short-term investments	\$ 480,000	\$ 480,000	0.015 %	0.015 %
b. Schedule D, Part 1				
c. Schedule D, Part 2, Section 1				
d. Schedule D, Part 2, Section 2				
e. Schedule B				
f. Schedule A				
g. Schedule BA, Part 1				
h. Schedule DL, Part 1				
i. Other				
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i)	\$ 480,000	\$ 480,000	0.015 %	0.015 %
Separate Account:				
k. Cash, cash equivalents and short-term investments	\$	\$	%	%
l. Schedule D, Part 1				
m. Schedule D, Part 2, Section 1				
n. Schedule D, Part 2, Section 2				
o. Schedule B				
p. Schedule A				
q. Schedule BA, Part 1				
r. Schedule DL, Part 1				
s. Other				
t. Total Collateral Assets (k+l+m+n+o+p+q+r+s)	\$	\$	%	%

	(1) Amount	(2) % of Liability to Total Liabilities
u. Recognized Obligation to Return Collateral Asset (General Account)	\$ 480,000	0.016 %
v. Recognized Obligation to Return Collateral Asset (Separate Account)	\$	%

M. Working Capital Finance Investments - Not Applicable

N. Offsetting and Netting of Assets and Liabilities - Not Applicable

O. 5GI Securities - Not Applicable

P. Short Sales - Not Applicable

Q. Prepayment Penalty and Acceleration Fees

	General Account	Separate Account
(1) Number of CUSIPs	3	
(2) Aggregate amount of investment income	\$ 748,304	\$

R. Reporting Entity's Share of Cash Pool by Asset type - Not Applicable

**6. Joint Ventures, Partnerships and Limited Liability Companies**

A. Investments in Joint Ventures, Partnerships or Limited Liability Companies that Exceed 10% of Admitted Assets

The Company did not have any investments in joint ventures, partnerships, or limited liability companies that exceeded 10% of total admitted assets as of September 30, 2021 and December 31, 2020, respectively.

B. Impaired Investments in Joint Ventures, Partnerships and Limited Liability Companies

The Company recognizes impairments when it is probable that it will be unable to recover the carrying amount of the investment or there is evidence indicating inability of the investee to sustain earnings which would justify the carrying value of the investment. The Company did not have any impairment in joint ventures, partnerships, or limited liability companies as of September 30, 2021 and December 31, 2020, respectively.

**7. Investment Income**

The Company did not have any due and accrued income over 90 days past due that was excluded from surplus as of September 30, 2021 and December 31, 2020, respectively.

## Notes to the Financial Statements

### 8. Derivative Instruments

#### A. Derivatives under SSAP No. 86 - Derivatives

- (1) The Company owns equity index options to limit its net exposure to equity market risk. The Company enters into equity index future contracts through exchange to hedge the general business risk. The Company receives collateral from its derivative counterparties to limit the risk of nonperformance by the counterparties.
- (2) The Company holds equity futures to hedge general business risks associated with equity markets. The total carrying value of derivative assets were (\$48,345) and \$1,300,661 as of September 30, 2021 and December 31, 2020, respectively.
- (3) None of the Company's derivatives qualify for hedge accounting under SSAP No. 86 - *Derivatives*; they are accounted for under the fair value method of accounting, with changes in fair value recorded as unrealized investment gains or losses. The realized gains/losses are recorded at the option expiration date.
- (4) Derivative contracts with financing premiums - Not Applicable
- (5) Net gain or loss recognized - Not Applicable
- (6) Net gain or loss recognized from derivatives no longer qualifying for hedge accounting - Not Applicable
- (7) Derivatives accounted for as cash flow hedges of a forecasted transaction - Not Applicable
- (8) Premium Cost for Derivative Contracts - Not Applicable

#### B. Derivatives under SSAP No. 108 - Derivative Hedging Variable Annuity Guarantees (Life/Fraternal Only) - Not Applicable

### 9. Income Taxes - No Significant Changes

### 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

#### A. Nature of Relationships

On February 1, 2021, KKR & Co. Inc. ("KKR") indirectly acquired a majority interest in First Allmerica Financial Life Insurance Company ("FAFLIC") following the merger of Global Atlantic Financial Group Limited ("GAFGL") and Magnolia Merger Sub Limited, with GAFGL as the surviving entity of the merger transaction. Prior to the merger transaction, Magnolia Merger Sub Limited was a Bermuda exempted company, a direct wholly owned subsidiary of Magnolia Parent LLC (now known as The Global Atlantic Financial Group LLC or "TGAFGL") and an indirect subsidiary of KKR. Accordingly, TGAFGL is now the holding company of GAFGL and KKR is deemed the ultimate controlling person of FAFLIC.

The Company is organized as a stock life insurance company and is a wholly-owned direct subsidiary of Commonwealth Annuity. Commonwealth Annuity is a wholly-owned indirect subsidiary of TGAFGL, a Bermuda Company.

KKR Magnolia Holdings LLC owns a total of approximately 61.5% of the outstanding ordinary shares of TGAFGL; the remaining investors, none of whom own more than 9.8%, own the remaining approximately 38.5% of the outstanding ordinary shares.

The Company owns market traded bonds of KKR, with an NAIC rating of 1, a carrying value of \$5,039,035, and a market value of \$6,471,083, as of September 30, 2021. The purchase of these securities constituted arms-length transactions.

At December 31, 2020, The Goldman Sachs Group, Inc. (Goldman Sachs) owned a total of approximately 22% of the outstanding ordinary shares of GAFGL; the remaining investors, none of whom own more than 7.0%, own the remaining approximately 78% of the outstanding ordinary shares.

The Company owns market traded bonds of Goldman Sachs, with an NAIC rating of 2, a carrying value of \$13,587,112, and a market value of \$17,864,618, as of December 31, 2020. The purchase of these securities constituted arms-length transactions.

#### B. Detail of Transactions Greater than ½ of 1% of total admitted assets:

As of September 30, 2021, no transactions greater than 1/2 of 1% of net admitted assets had occurred.

In December 2020, having received the approval of the Massachusetts Division of Insurance, the Company distributed a \$100,000,000 extraordinary dividend to its parent, Commonwealth Annuity, which was accounted for as a return of capital.

#### C. Transactions With Related Party Who Are Not Reported on Schedule Y - None

#### D. Amounts due to or from Related Parties

The Company had a payable due to Goldman Sachs Asset Management L.P. (GSAM) related to portfolio management fees of \$674,314 as of December 31, 2020.

As of September 30, 2021, the Company reported a receivable from parent, subsidiaries and affiliates of \$0 and a payable of \$1,668,571. As of December 31, 2020, the Company reported a receivable from parent, subsidiaries and affiliates of \$0 and a payable of \$1,493,059. Intercompany balances are settled on a monthly basis.

#### E. Management, Service Contracts, Cost Sharing Arrangements

On February 1, 2021, FAFLIC entered into an investment management agreement with Kohlberg Kravis Roberts & Co. L.P., a Delaware limited partnership and KKR subsidiary.

The Company has entered into administration, shared services, management services, and investment management services agreements with related parties. These affiliates provide legal, compliance, technology, operations, financial reporting, human resources, risk management, distribution services, use of facilities and such other services as the parties may agree to from time to time. The Company recorded expenses for these agreements of \$2,150,410 and \$2,869,651 for the years ended September 30, 2021 and December 31, 2020, respectively.

The Company has agreements with affiliated parties to receive and pay certain fee income and expenses related to policyholder administration of \$494,263 and \$1,030,650 as of September 30, 2021 and December 31, 2020, respectively and had a net \$215,855 and \$251,369 receivable at September 30, 2021 and December 31, 2020, respectively.

#### F. Guarantees or Contingencies for Related Parties

On December 19, 2012, Commonwealth Annuity entered into an agreement to guarantee the performance of the Company, related to a reinsured block of fixed annuities.

## Notes to the Financial Statements

### 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties (Continued)

G. Nature of Relationships that Could Affect Operations

The Company has entered into a Services and Expenses Agreement, with GAFC, as amended, pursuant to which GAFC and other affiliates will provide certain services to the Company, including, but not limited to, seconding employees and providing management services, administrative support, and use of facilities.

H. Amount Deducted for Investment in Upstream Company - Not Applicable

I. Detail of Investments in Affiliates Greater Than 10% of Admitted Assets - Not Applicable

J. Write-Down for Impairments of Investments in Subsidiary Controlled or Affiliated Companies - Not Applicable

K. Foreign Subsidiary Value Using CARVM - Not Applicable

L. Downstream Holding Company Value Using Look-Through Method - Not Applicable

M. All SCA Investments - Not Applicable

N. Investment in Insurance SCAs - Not Applicable

O. SCA and SSAP No. 48 Entity Loss Tracking - None

### 11. Debt

A. Debt, Including Capital Notes - Not Applicable

B. FHLB (Federal Home Loan Bank) Agreements - Not Applicable

### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan - Not Applicable

B. Investment Policies and Strategies of Plan Assets - Not Applicable

C. Fair Value of Each Class of Plan Assets - Not Applicable

D. Expected Long-Term Rate of Return for the Plan Assets - Not Applicable

E. Defined Contribution Plans

In 2014, the Company assumed, and received assets for, a nonqualified defined contribution pension plan covering career distribution system agents. The balance of this obligation was \$3,115,378 and \$3,048,014 as of September 30, 2021 and December 31, 2020, respectively. There were no expenses recognized in association with this plan for the three months and year ended June 30, 2021 and December 31, 2020.

F. Multiemployer Plans - Not Applicable

G. Consolidated/Holding Company Plans

The Company is allocated a share of the costs of the GAFC employee-sponsored defined contribution plans. GAFC matches 100% of the first 6% of eligible compensation contributed by participants. Participants are 100% vested in the 4% employer safe harbor matching contribution. Participants vest in the additional 2% employer matching contribution on a graded schedule over five years, based upon years of service. The allocated expense for the years ended September 30, 2021 and December 31, 2020 was \$292,699 and \$542,953, respectively.

H. Postemployment Benefits and Compensated Absences - Not Applicable

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) - Not Applicable

### 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

A. The Company has 1,000,000 shares authorized, 500,001 shares issued and outstanding, par value \$10.00 per share.

B. The Company has no preferred stock outstanding.

C. Massachusetts has enacted laws governing the payment of dividends and other distributions to stockholders by insurers. These laws affect the dividend paying ability of the Company. Pursuant to Massachusetts statutes, the maximum amount of dividends and other distributions that an insurer may pay in any twelve month period, without prior approval of the MA DOI, is limited to the greater of the Company's statutory net gains from operations of the preceding December 31 or 10% of the statutory policyholder's surplus as of the preceding December 31. Any dividend from the Company to Commonwealth Annuity requires prior approval of the MA DOI since dividends may not be paid from negative unassigned funds.

D. In December 2020, having received the approval of the Massachusetts Division of Insurance, the Company distributed a \$100,000,000 extraordinary dividend to its parent, Commonwealth Annuity. The entire \$100,000,000, being in excess of undistributed earnings, was accounted for as a return of capital.

E. Within the limitations of (C) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders

F. The Company has no restrictions on the use of the Company's unassigned surplus and all unassigned surplus is held for the benefit of the shareholders.

G. The Company has no surplus advances.

H. Stock Held for Special Purposes

None of the Company's stock is held for special purposes.

I. Changes in Special Surplus Funds - Not Applicable

J. Unassigned Funds (Surplus)

Unassigned funds (surplus) was reset to zero as of June 30, 2021, due to a quasi-reorganization described in footnote 13L. The portion of unassigned funds represented or reduced by cumulative unrealized gains and losses are \$560,617 and \$3,526,974, at September 30, 2021 and December 31, 2020, respectively.

## Notes to the Financial Statements

### 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations (Continued)

K. Company-Issued Surplus Debentures or Similar Obligations - Not Applicable

L. Impact of Any Restatement Due to Prior Quasi-Reorganizations

Effective July 30, 2021, the Massachusetts Division of Insurance approved the Company's request to reset gross paid and contributed surplus and unassigned funds under a quasi-reorganization pursuant to SSAP No. 72 with an effective date of June 30, 2021. The Company's unassigned funds (surplus) would be reduced to zero through the restatement of gross paid in and contributed surplus. The Company requested the reset following the February 1, 2021 change in control, in which a majority-owned indirect subsidiary of KKR & Co. acquired the Company's ultimate parent, The Global Atlantic Financial Group LLC.

	Change in Year Surplus	Change in Gross Paid-in and Contributed Surplus
SSAP No. 72 restatement under quasi-reorganization	\$..... (355,915,618)	\$..... 355,915,618

M. Effective Date(s) of Quasi-Reorganizations in the Prior 10 Years - None

### 14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

(1) Commitments or contingent commitment(s) to an SCA entity, joint venture, partnership, or limited liability company

The Company invests in certain joint ventures, limited liability companies (LLC's) and partnerships, and in some cases makes a commitment for additional investment up to a maximum invested amount.

As of September 30, 2021, commitments to make additional investments to joint ventures, LLC's and partnerships total \$4,425,585.

(2) Nature and circumstances of guarantee - None

(3) Aggregate compilation of guarantee obligations - None

B. Assessments

(1) Unfavorable economic conditions may contribute to an increase in the number of insurance companies that are under regulatory supervision. This may result in an increase in mandatory assessments by state guaranty funds, or voluntary payments by solvent insurance companies to cover losses to policyholders of insolvent or rehabilitated companies. Mandatory assessments, which are subject to statutory limits, can be partially recovered through a reduction in future premium taxes in some states. The Company is not able to reasonably estimate the potential impact of any such future assessments or voluntary payments.

(3) Guaranty fund liabilities and assets related to long-term care insolvencies - None

C. Gain Contingencies - Not Applicable

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits - Not Applicable

E. Joint and Several Liabilities - Not Applicable

F. All Other Contingencies

The Company may be involved from time to time in judicial, regulatory and arbitration proceedings concerning matters arising in connection with the conduct of its business. The Hanover Insurance Group, Inc. (THG) has agreed to indemnify the Company and Commonwealth Annuity with respect to certain of these matters as provided in the Stock Purchase Agreement. Management believes, based on currently available information, that the results of such proceedings, in the aggregate, will not have a material adverse effect on the Company's financial condition. Given the inherent difficulty of predicting the outcome of the Company's litigation and regulatory matters, particularly in cases or proceedings in which substantial or indeterminate damages or fines are sought, the Company cannot estimate losses, or ranges of losses, for cases or proceedings where there is only a reasonable possibility that a loss may be incurred. However, the Company believes that at the present time there are no pending or threatened lawsuits or regulatory proceedings that are reasonably likely to have a material adverse effect on the Company's consolidated financial position.

In addition, the Company is involved, from time to time, in investigations and proceedings by governmental and self-regulatory agencies, which may include investigations into such matters as revenue sharing, claims payment practices, unclaimed property escheatment practices, and other matters. A number of companies have announced settlements of enforcement actions related to such matters with various regulatory agencies, including the SEC, which has included a range of monetary penalties and restitution. The Company is not currently involved in any such investigations or proceedings, though there can be no assurance that such investigations or proceedings may not arise in the future.

In connection with the process of converting over 500,000 in-force life insurance policies (representing policies for both the Company and Accordia) from systems managed by Athene Holdings Limited to the platform of one of our third party service providers DXC, or the "Conversion," the Company and Accordia expect to incur a variety of litigation-related costs. On June 28, 2018 a subsidiary of Athene and FAFLIC entered into a consent order with the New York State Department of Financial Services, or "NYSDFS," relating to the NYSDFS' market conduct examination findings that related primarily to disruptions in servicing caused by the Conversion. Pursuant to the consent order, Athene paid the NYSDFS a fine of \$15 million and will also take corrective actions and provide remediation to policyholders impacted by the Conversion. The agreements between the Company, Commonwealth Annuity and Athene provide indemnities to Athene, including for fines and penalties resulting from violations of law. Commonwealth Annuity has reimbursed Athene an amount equal to the NYSDFS fine in July, 2018. Additionally, the Company has put up a reserve of \$3.4 million for costs related to certain aspects of the corrective actions agreed under the consent order. The Company anticipates additional regulatory, restitution and legal costs associated with the investigation, defense, and settlement of potential claims related to the Conversion.

The Company's ultimate legal and financial responsibility cannot be estimated at this time.

The Company's sister company, Accordia Life and Annuity Company is a defendant in a putative policyholder class action, *Clapp, et al. v. Accordia Life and Annuity Company, et al.*, in the Central District of Illinois. Plaintiffs in *Clapp* filed a Motion for Preliminary Approval of Class Settlement on May 10, 2019, which was granted by the Court on June 7, 2019. The Court granted final approval on June 23, 2020. Although the Company is not a party to that lawsuit, it is a released party pursuant to the terms of the settlement agreement and some FAFLIC policyholders are members of the settlement class.

### 15. Leases

A. Lessee Operating Lease - Not Applicable

## Notes to the Financial Statements

### 15. Leases (Continued)

B. Lessor Leases - Not Applicable

### 16. Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk

Credit risk is managed by entering into transactions with creditworthy counterparties and obtaining full collaterals from counterparties. The Company did not hold over the counter derivative contracts as of September 30, 2021. The exchange-traded derivatives are affected through a regulated exchange and positions are marked to market on a daily basis, the Company has little exposure to credit-related losses in the event of nonperformance by counterparties to such financial instruments.

1. Face Amount of the Company's Financial Instruments with Off-Balance-Sheet Risk - Not Applicable
2. Nature of Terms - Not Applicable
3. Exposure to Credit Related Losses - Not Applicable
4. Collateral Policy - Not Applicable

### 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. Transfers of Receivables Reported as Sales - Not Applicable
- B. Transfers and Servicing of Financial Assets - Not Applicable
- C. Wash Sales - None

### 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

- A. ASO Plans - Not Applicable
- B. ASC Plans - Not Applicable
- C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract - Not Applicable

### 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators - Not Applicable

### 20. Fair Value Measurements

A. Fair Value Measurement

As of September 30, 2021, the Company's assets and liabilities carried at fair value consist of separate account funds are classified as Level 1 in the fair value hierarchy.

Derivatives consist of short equity futures classified as Level 1 in the fair value hierarchy. The Company did not own any Level 3 securities carried at fair value as of September 30, 2021 and December 31, 2020.

(1) Fair value measurements at reporting date

Description for each class of asset or liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Derivative Assets	\$	\$	\$	\$	\$
Separate Accounts	142,156,985				142,156,985
Total assets at fair value/NAV	<u>\$ 142,156,985</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$ 142,156,985</u>
b. Liabilities at fair value					
Derivative Liabilities	\$ 48,345	\$	\$	\$	\$ 48,345
Total liabilities at fair value	<u>\$ 48,345</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$ 48,345</u>

(2) Fair value measurements in Level 3 of the fair value hierarchy - None

(3) Transfers Between Level 1 and Level 2

There were no transfers between Levels 1 and 2 during the current year.

(4) Fair Value Inputs Level 2 and Level 3

#### Bonds

In accordance with the NAIC Purposes and Procedures Manual of the NAIC Investment Analysis Office, bonds rated 6 are carried at the lower of amortized cost or fair value. As of September 30, 2021 and December 31, 2020, the Company did not own any bonds rated 6.

#### Separate Account Assets

The estimated fair value of assets held in separate accounts is based on quoted market prices. Separate account assets representing contract holder funds are measured at fair value and reported as a summary total in the Statement of Assets, with an equivalent summary total reported for related liabilities. The market value adjusted annuity is comprised of bonds, in which the fair value is based on external vendor prices. Based on the level of observable activity, these bonds will be measured at either Level 1 or Level 2.

#### Derivatives

The Company enters into certain OTC derivatives, primarily equity index options to hedge the growth in interests credited for the indexed universal life insurance products. The Company values the OTC options utilizing the Black-Scholes models. The Company also compares the derivative valuations to valuations to validate the model outputs. For OTC derivatives that trade in liquid markets, model inputs can generally be verified and model selection does not involve significant management judgment. Such instruments are typically classified within Level 2 of the fair value hierarchy.

(5) Derivatives - Not Applicable

## Notes to the Financial Statements

### 20. Fair Value Measurements (Continued)

#### B. Other Fair Value Disclosures

No additional disclosures are required pertaining to fair value measurement.

#### C. Fair Values for All Financial Instruments by Level 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 2,634,466,883	\$ 2,278,671,835	\$ 7,742,383	\$ 2,581,994,012	\$ 44,730,488		
Mortgage Loans	5,960,539	5,661,891			5,960,539		
Other Invested Assets	1,939,373	7,397,866		84,236	1,855,137		5,456,893
Preferred Stock	1,600,000	1,600,000		1,600,000			
Short-Term Investments	1,158,948	1,159,288		1,158,948			
Cash and Equivalents	94,946,327	94,946,327	94,946,327				
Derivatives							
Total Assets	2,740,072,070	2,389,437,207	102,688,710	2,584,837,196	52,546,164		5,456,893
Derivative Liabilities	48,345	48,345	48,345				
Total Liabilities	48,345	48,345	48,345				

#### D. Not Practicable to Estimate Fair Value

As of September 30, 2021, the Company owned 2 financial instruments that were not practicable to estimate fair value.

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
BA Common Stock	\$ 5,456,893	%		1

#### Explanations

1: For our equity method investments, our carrying amount generally is our share of the net asset value of the funds or the partnerships, which approximates fair value.

#### E. Nature and Risk of Investments Reported at NAV - Not Applicable

### 21. Other Items

#### A. Unusual or Infrequent Items - Not Applicable

#### B. Troubled Debt Restructuring - Not Applicable

#### C. Other Disclosures

Effective July 1, 2021, the Company entered into a coinsurance agreement with USAA Life Insurance Company whereby it assumed fixed annuity business. The total assumed reserves were \$209,326,019. Subsequently the Company entered into a retrocession agreement where reserves of \$62,797,806 were ceded to a third party.

Assets values of \$11,969,997 and \$11,979,307 at September 30, 2021 and December 31, 2020, respectively, were on deposit with government authorities or trustees as required by law.

The Company previously completed a conversion to a new life insurance administration system. In a limited number of cases, as a result of the conversion, the Company is using estimates for certain policyholder balances recorded in the financial statements. Any variances to the estimates will be recorded in future periods if estimates are revised or no longer utilized.

Effective October 1, 2020, the Company entered into a 90% quota share Funds Withheld Retrocession Reinsurance Agreement with Accordia Life and Annuity Company (Accordia). The business covered under this agreement consists of whole life, term, and universal life policies assumed under the Non NLG, Non-Financed NLG and JANY reinsurance agreements and policies novated from Athene Life Insurance Company of New York (ALICNY). At December 31, 2020, the Company ceded \$940,712,245 of premium revenue, \$889,156,294 of reserves, and \$32,500,000 of commission expense allowance to Accordia.

Note 14 references "remediation to policyholders impacted by the Conversion" as directed by the NYSDFS. In compliance with this directive, one of these remediation options was to allow policyholders to pay current premiums on a go forward basis and any premium in arrears (i.e. premium that had not been billed) would be applied to the policy, to be collected at the time the policy terminates (e.g. surrender or death). The premium that has been applied under this option has been set up as lien against the policy. In accordance with statutory guidance it is being treated as a non-admitted asset.

#### D. Business Interruption Insurance Recoveries

The Company did not have any business interruption insurance recoveries as of September 30, 2021 and December 31, 2020.

#### E. State Transferable and Non-Transferable Tax Credits - Not Applicable

#### F. Subprime-Mortgage-Related Risk Exposure

(1) While the Company holds no direct investments in subprime mortgage loans, the Company may have limited exposure to subprime borrowers through direct investments in primarily investment grade subprime residential mortgage-backed securities. The Company's definition of subprime is predominantly based on borrower statistics from a residential pool of mortgages. Included in the statistics evaluated is the average credit score of the borrower, the loan-to-value ratio, the debt-to-income statistics, and the diversity of all these statistics across the borrower profile. As is true for all securities in the Company's portfolio, the entire mortgage-backed asset portfolio is reviewed for impairments at least quarterly. Additionally, reviews of specific mortgage-backed securities are made on a periodic basis by reviewing both the unrealized gain/loss as well as changes to the underlying statistics. Included in the analysis are current delinquency and default statistics, as well as the current and original levels of subordination on the security.

(2) Direct exposure through investments in subprime mortgage loans - Not Applicable

(3) Direct exposure through other investments

The Company holds the following residential mortgage backed securities with subprime exposure as of September 30, 2021.

**Notes to the Financial Statements**

**21. Other Items (Continued)**

	Actual Cost	Book/Adjusted Carrying Value (Excluding Interest)	Fair Value	Other-Than-Temporary Impairment Losses Recognized
a. Residential mortgage-backed securities.....	\$ 15,968,418	\$ 10,834,989	\$ 15,947,955	\$ .....
b. Commercial mortgage-backed securities.....				
c. Collateralized debt obligations.....				
d. Structured securities.....				
e. Equity investment in SCAs.....				
f. Other assets.....				
g. Total.....	<u>\$ 15,968,418</u>	<u>\$ 10,834,989</u>	<u>\$ 15,947,955</u>	<u>\$ .....</u>

(4) Underwriting exposure to subprime mortgage risk through Mortgage Guaranty or Financial Guaranty insurance coverage - Not Applicable

G. Retained Assets - Not Applicable

H. Insurance-Linked Securities (ILS) Contracts - Not Applicable

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy - None

**22. Events Subsequent**

Type I – Recognized Subsequent Events – No Type I subsequent events to report.

Type II – No Type II subsequent events to report.

Subsequent events have been considered through November 11, 2021.

**COVID-19**

The COVID-19 outbreak is currently impacting the United States and many countries around the world. Due to the recent and rapidly evolving nature of these events, the Company is unable to estimate the full impact at this time. However, at this time, the Company does not believe the situation will materially impact the Company's liability or capital position.

**23. Reinsurance - No Significant Changes**

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

The Company does not have any retrospectively rated contracts or contract subject to redetermination.

A. Method Used to Estimate - Not Applicable

B. Method Used to Record - Not Applicable

C. Amount and Percent of Net Retrospective Premiums - Not Applicable

D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act - Not Applicable

E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

(1) Accident and health insurance premium subject to the Affordable Care Act risk-sharing provisions

Accident and health insurance premium subject to the Affordable Care Act risk-sharing provisions

Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions?

NO

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year - Not Applicable

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance - Not Applicable

(4) Roll-forward of risk corridors asset and liability balances by program benefit year - Not Applicable

(5) ACA risk corridors receivable as of reporting date - Not Applicable

**25. Change in Incurred Losses and Loss Adjustment Expenses**

A. Reasons for Changes in the Provision for Incurred Loss and Loss Adjustment Expenses Attributable to Insured Events of Prior Years

The Company does not have any change in incurred loss adjustment expenses.

B. Significant Changes in Methodologies and Assumptions Used in Calculating the Liability for Unpaid Losses and Loss Adjustment Expenses

The Company does not have any change in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

**26. Intercompany Pooling Arrangements - Not Applicable**

**27. Structured Settlements**

The Company does not have any structured settlements.

**28. Health Care Receivables - Not Applicable**

**29. Participating Policies - No Significant Changes**

**30. Premium Deficiency Reserves - Not Applicable**

**Notes to the Financial Statements**

**31. Reserves for Life Contracts and Annuity Contracts - No Significant Changes**

**32. Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics**

A. Individual Annuities

	General Account	Separate Account With Guarantees	Separate Account Nonguaranteed	Total	Percent of Total
(1) Subject to discretionary withdrawal					
a. With market value adjustment	\$ -	\$ -	\$ -	\$ -	- %
b. At book value less current surrender charge of 5% or more	6,916,310	-	-	6,916,310	0.647
c. At fair value	-	-	19,606,748	19,606,748	1.835
d. Total with market value adjustment or at fair value (total of a through c)	6,916,310	-	19,606,748	26,523,058	2.482
e. At book value without adjustment (minimal or no charge or adjustment)	1,032,282,100	-	-	1,032,282,100	96.607
(2) Not subject to discretionary withdrawal	9,471,720	-	263,940	9,735,660	0.911
(3) Total (gross: direct + assumed)	1,048,670,130	-	19,870,688	1,068,540,818	100.000 %
(4) Reinsurance ceded	732,521,204	-	-	732,521,204	
(5) Total (net) (3 - 4)	\$ 316,148,926	\$ -	\$ 19,870,688	\$ 336,019,614	
(6) Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date:	\$ -	\$ -	\$ -	\$ -	

B. Group Annuities

	General Account	Separate Account With Guarantees	Separate Account Nonguaranteed	Total	Percent of Total
(1) Subject to discretionary withdrawal					
a. With market value adjustment	\$ -	\$ 164,325	\$ -	\$ 164,325	0.096 %
b. At book value less current surrender charge of 5% or more	28,495	-	-	28,495	0.017
c. At fair value	-	-	52,226,509	52,226,509	30.505
d. Total with market value adjustment or at fair value (total of a through c)	28,495	164,325	52,226,509	52,419,329	30.617
e. At book value without adjustment (minimal or no charge or adjustment)	20,741,527	-	-	20,741,527	12.115
(2) Not subject to discretionary withdrawal	96,970,582	-	1,076,352	98,046,934	57.268
(3) Total (gross: direct + assumed)	117,740,604	164,325	53,302,861	171,207,790	100.000 %
(4) Reinsurance ceded	23,122,069	-	-	23,122,069	
(5) Total (net) (3 - 4)	\$ 94,618,535	\$ 164,325	\$ 53,302,861	\$ 148,085,721	
(6) Amount included in B(1)b above that will move to B(1)e for the first time within the year after the statement date:	\$ -	\$ -	\$ -	\$ -	

C. Deposit-Type Contracts (no life contingencies)

	General Account	Separate Account With Guarantees	Separate Account Nonguaranteed	Total	Percent of Total
(1) Subject to discretionary withdrawal					
a. With market value adjustment	\$ -	\$ -	\$ -	\$ -	%
b. At book value less current surrender charge of 5% or more	-	-	-	-	
c. At fair value	-	-	14,227,721	14,227,721	3.767
d. Total with market value adjustment or at fair value (total of a through c)	-	-	14,227,721	14,227,721	3.767
e. At book value without adjustment (minimal or no charge or adjustment)	362,101,985	-	-	362,101,985	95.868
(2) Not subject to discretionary withdrawal	1,377,357	-	-	1,377,357	0.365
(3) Total (gross: direct + assumed)	363,479,342	-	14,227,721	377,707,063	100.000 %
(4) Reinsurance ceded	73,183,912	-	-	73,183,912	
(5) Total (net) (3 - 4)	\$ 290,295,430	\$ -	\$ 14,227,721	\$ 304,523,151	
(6) Amount included in C(1)b above that will move to C(1)e for the first time within the year after the statement date:	\$ -	\$ -	\$ -	\$ -	

**Notes to the Financial Statements**

**32. Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics (Continued)**

D. Reconciliation of Total Annuity Actuarial Reserves and Deposit Fund Liabilities Amounts

	Amount
Life & Accident & Health Annual Statement	
(1) Exhibit 5, annuities, total (net).....	\$..... 408,784,353
(2) Exhibit 5, supplementary contracts with life contingencies section, total (net).....	1,983,109
(3) Exhibit 7, deposit-type contracts, Line 14, Column 1.....	290,295,429
(4) Subtotal.....	\$..... 701,062,891
Separate Accounts Annual Statement	
(5) Exhibit 3, Line 0299999, Column 2.....	73,337,873
(6) Exhibit 3, Line 0399999, Column 2.....	
(7) Policyholder dividend and coupon accumulations.....	
(8) Policyholder premiums.....	
(9) Guaranteed interest contracts.....	
(10) Other contract deposit funds.....	14,227,720
(11) Subtotal.....	\$..... 87,565,593
(12) Combined total.....	\$..... 788,628,484

**33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics** - No Significant Changes

**34. Premiums and Annuity Considerations Deferred and Uncollected** - No Significant Changes

**35. Separate Accounts** - No Significant Changes

**36. Loss/Claim Adjustment Expenses** - Not Applicable

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ X ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ X ] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. .... 0001404912
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2019
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2014
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 05/27/2016
- 6.4 By what department or departments?  
Massachusetts Division of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ X ] No [ ] N/A [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
REDI Global Technologies LLC. ....	New York, NY .....	NO	NO	NO	YES
Mercer Allied Company, L.P. ....	Saratoga Springs, NY .....	NO	NO	NO	YES
Global Atlantic Investment Advisors, LLC .....	Indianapolis, Indiana .....	NO	NO	NO	YES
Global Atlantic Distributors, LLC .....	Simsbury, Connecticut .....	NO	NO	NO	YES
KKR Credit Advisors (Singapore) Pte. Ltd. ....	Singapore .....	NO	NO	NO	YES
KKR Registered Advisor LLC .....	New York, NY .....	NO	NO	NO	YES
Kohlberg Kravis Roberts & Co. L.P. ....	New York, NY .....	NO	NO	NO	YES
FS/KKR Advisor, LLC .....	Philadelphia, PA .....	NO	NO	NO	YES
KKR Credit Advisors (US) LLC .....	San Francisco, CA .....	NO	NO	NO	YES
KKR Capital Markets LLC .....	New York, NY .....	NO	NO	NO	YES
MCS Capital Markets LLC .....	New York, NY .....	NO	NO	NO	YES

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....
13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ 13,587,112  | \$ 5,039,035  |
| 14.22 Preferred Stock .....   | \$ .....   | \$ .....  |
| 14.23 Common Stock .....  | \$ .....   | \$ .....  |
| 14.24 Short-Term Investments .....  | \$ .....   | \$ .....  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....   | \$ .....  |
| 14.26 All Other .....   | \$ .....   | \$ .....  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ 13,587,112  | \$ 5,039,035  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....   | \$ .....  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  N/A   
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....
- 16.3 Total payable for securities lending reported on the liability page. .... \$ .....

## GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
U.S Bank N.A .....	1025 Connecticut Avenue, NM Suite 517, Washington DC 20036 .....
Bank of New York Mellon .....	One Wall Street, New York, NY 10286 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Internal Global Atlantic Investment Team .....	I.....
Kohlberg Kravis Roberts & Co. L.P. ....	A.....
Goldman Sachs Asset Management CLO, Corp. ....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ ] No [ X ]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
1399770 .....	Kohlberg Kravis Roberts & Co. L.P. ....	K3NEK11EF7N3JVJE7V46 .....		DS.....
107738 .....	Goldman Sachs Asset Management CLO, Corp. ....	5493000C7DKPYVEOMA87 .....		OS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - Issuer or obligor is current on all contracted interest and principal payments.
  - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- The security was purchased prior to January 1, 2018.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- The shares were purchased prior to January 1, 2019.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - The fund only or predominantly holds bonds in its portfolio.
  - The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [ X ]

## GENERAL INTERROGATORIES

### PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

**Life and Accident Health Companies/Fraternal Benefit Societies:**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1  
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages ..... \$ .....
- 1.12 Residential Mortgages ..... \$ .....
- 1.13 Commercial Mortgages ..... \$ ..... 5,661,891
- 1.14 Total Mortgages in Good Standing ..... \$ ..... 5,661,891
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms ..... \$ .....
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages ..... \$ .....
- 1.32 Residential Mortgages ..... \$ .....
- 1.33 Commercial Mortgages ..... \$ .....
- 1.34 Total Mortgages with Interest Overdue more than Three Months ..... \$ .....
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages ..... \$ .....
- 1.42 Residential Mortgages ..... \$ .....
- 1.43 Commercial Mortgages ..... \$ .....
- 1.44 Total Mortgages in Process of Foreclosure ..... \$ .....
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) ..... \$ ..... 5,661,891
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages ..... \$ .....
- 1.62 Residential Mortgages ..... \$ .....
- 1.63 Commercial Mortgages ..... \$ .....
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate ..... \$ .....
2. Operating Percentages:
- 2.1 A&H loss percent ..... %
- 2.2 A&H cost containment percent ..... %
- 2.3 A&H expense percent excluding cost containment expenses ..... %
- 3.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$ .....
- 3.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$ .....
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? ..... Yes [ ] No [ ] N/A [ ]
- 5.2 If no, explain: .....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount



**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

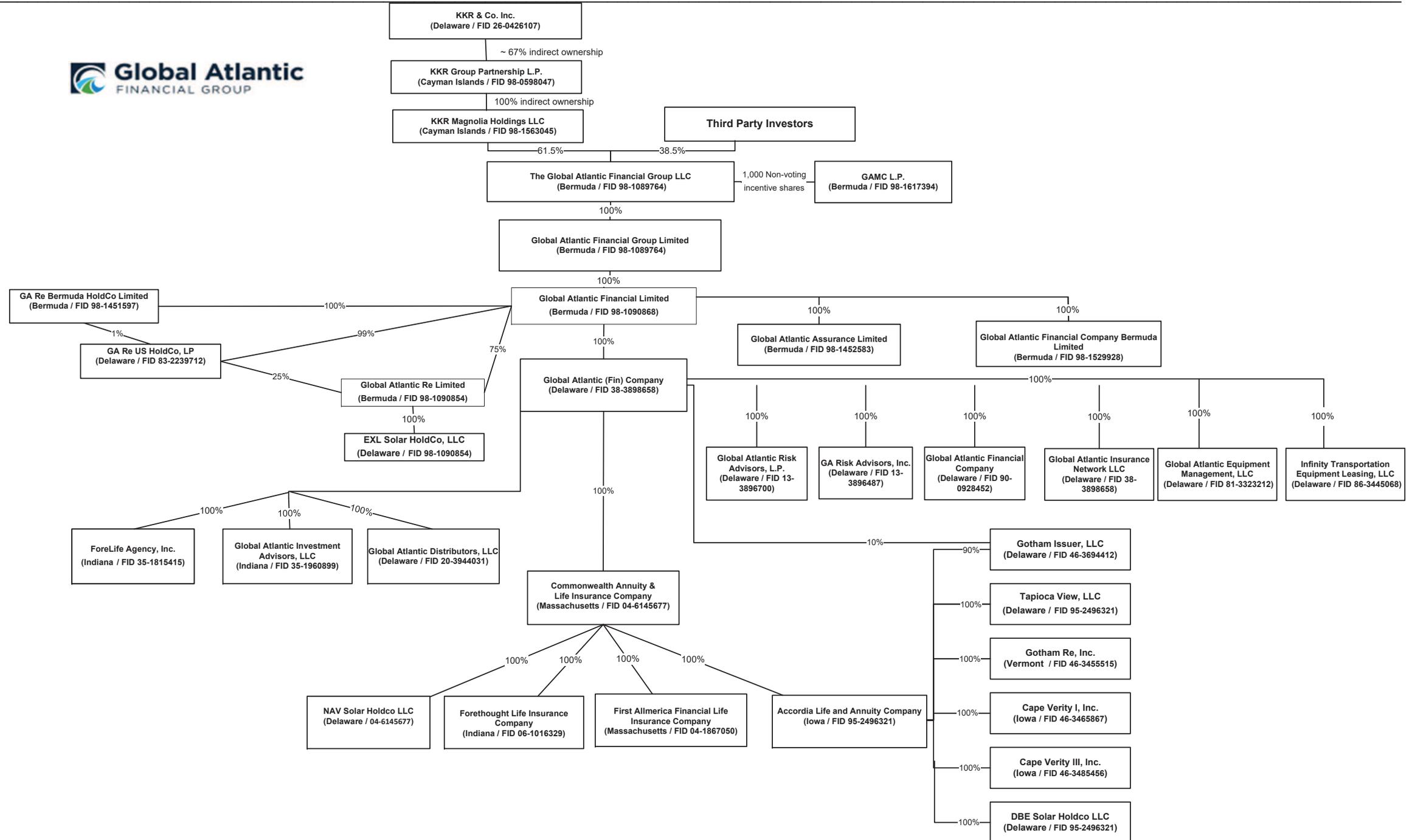
1	Direct Business Only					6	7	
	Life Contracts		4	5	Total Columns 2 Through 5			
States, Etc.	Active Status (a)	Life Insurance Premiums				Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations
1. Alabama	AL	L	46,758				46,758	
2. Alaska	AK	L	5,162				5,162	
3. Arizona	AZ	L	136,151		96		136,247	
4. Arkansas	AR	L	10,821				10,821	
5. California	CA	L	501,270	4,665	189		506,124	
6. Colorado	CO	L	377,555		168		377,723	
7. Connecticut	CT	L	667,044		682		667,726	
8. Delaware	DE	L	42,736	480			43,216	
9. District of Columbia	DC	L	13,104				13,104	
10. Florida	FL	L	1,788,540	10,543			1,799,083	
11. Georgia	GA	L	192,973		276		193,249	
12. Hawaii	HI	L	10,702				10,702	
13. Idaho	ID	L	8,269				8,269	
14. Illinois	IL	L	351,232		262		351,494	
15. Indiana	IN	L	97,986		1,543		99,529	
16. Iowa	IA	L	19,099				19,099	
17. Kansas	KS	L	11,223				11,223	
18. Kentucky	KY	L	35,850				35,850	
19. Louisiana	LA	L	131,946	10,188	290		142,424	
20. Maine	ME	L	152,969				152,969	
21. Maryland	MD	L	257,562				257,562	
22. Massachusetts	MA	L	400,429	17,178	77		417,684	
23. Michigan	MI	L	708,278	93,000			801,278	
24. Minnesota	MN	L	1,004,938		1,255		1,006,193	
25. Mississippi	MS	L	28,289				28,289	
26. Missouri	MO	L	37,309		111		37,420	
27. Montana	MT	L	8,341				8,341	
28. Nebraska	NE	L	48,421				48,421	
29. Nevada	NV	L	58,362				58,362	
30. New Hampshire	NH	L	97,234		237		97,471	
31. New Jersey	NJ	L	1,554,928				1,554,928	
32. New Mexico	NM	L	21,000				21,000	
33. New York	NY	L	24,394,610	201,136	32,773		24,628,519	
34. North Carolina	NC	L	384,577				384,577	
35. North Dakota	ND	L	1,399				1,399	
36. Ohio	OH	L	174,941		47		174,988	
37. Oklahoma	OK	L	12,291				12,291	
38. Oregon	OR	L	41,172				41,172	
39. Pennsylvania	PA	L	562,955	207,776			770,731	
40. Rhode Island	RI	L	47,660				47,660	
41. South Carolina	SC	L	217,462				217,462	
42. South Dakota	SD	L	7,348				7,348	
43. Tennessee	TN	L	165,815				165,815	
44. Texas	TX	L	299,360	3,454			302,814	
45. Utah	UT	L	27,667				27,667	
46. Vermont	VT	L	34,337				34,337	
47. Virginia	VA	L	327,381		50		327,431	
48. Washington	WA	L	54,037				54,037	
49. West Virginia	WV	L	8,877				8,877	
50. Wisconsin	WI	L	89,499		24		89,523	
51. Wyoming	WY	L	18,662				18,662	
52. American Samoa	AS	L						
53. Guam	GU	L						
54. Puerto Rico	PR	N	61,952	106,683	368		169,003	
55. U.S. Virgin Islands	VI	L	1,627				1,627	
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N	4,038				4,038	
58. Aggregate Other Aliens	OT	XXX	77,485				77,485	
59. Subtotal	XXX		35,841,633	655,103	38,448		36,535,184	
90. Reporting entity contributions for employee benefits plans	XXX							
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		4,476,671				4,476,671	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		401,395				401,395	
94. Aggregate or other amounts not allocable by State	XXX		374,175				374,175	
95. Totals (Direct Business)	XXX		41,093,874	655,103	38,448		41,787,425	
96. Plus Reinsurance Assumed	XXX		27,063,186	235,459,335	585		262,523,106	
97. Totals (All Business)	XXX		68,157,060	236,114,438	39,033		304,310,531	
98. Less Reinsurance Ceded	XXX		56,772,846	71,802,372	39,033		128,614,251	
99. Totals (All Business) less Reinsurance Ceded	XXX		11,384,214	164,312,066			175,696,280	
DETAILS OF WRITE-INS								
58001. ZZZ other Alien	XXX		77,485				77,485	
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		77,485				77,485	
9401. Non-pay Settlement adjustments	XXX		374,175				374,175	
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		374,175				374,175	

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....54  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....  
 N - None of the above - Not allowed to write business in the state.....3

R - Registered - Non-domiciled RRGs.....  
 Q - Qualified - Qualified or accredited reinsurer.....

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART**



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			98-1089764				Global Atlantic Financial Group Limited	BMU	NIA	The Global Atlantic Financial Group LLC	Ownership	100.000	The Global Atlantic Financial Group LLC		
			90-0928452				Global Atlantic Financial Company	DE	NIA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			38-3898658				Global Atlantic (Fin) Company	DE	NIA	Global Atlantic Financial Limited	Ownership	100.000	The Global Atlantic Financial Group LLC		
			98-1090868				Global Atlantic Financial Limited	BMU	NIA	Global Atlantic Financial Group Limited	Ownership	100.000	The Global Atlantic Financial Group LLC		
			98-1090854				Global Atlantic Re Limited	BMU	IA	Global Atlantic Financial Limited	Ownership	75.000	The Global Atlantic Financial Group LLC		
			98-1090854				Global Atlantic Re Limited	BMU	IA	GA Re US HoldCo, LP	Ownership	25.000	The Global Atlantic Financial Group LLC		
			13-3896700				Global Atlantic Risk Advisors, L.P.	DE	NIA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			38-3898658				Global Atlantic Insurance Network, LLC	DE	NIA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			13-3896487				GA Risk Advisors, Inc.	DE	NIA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			86-3445068				Infinity Transportation Equipment Leasing, LLC	DE	NIA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			98-1529928				Global Atlantic Financial Company Bermuda Limited	BMU	NIA	Global Atlantic Financial Limited	Ownership	100.000	The Global Atlantic Financial Group LLC		
			98-1089764	4520225			The Global Atlantic Financial Group LLC	BMU	NIA	KKR Magnolia Holdings LLC	Ownership	61.500	KKR Group Partnership L.P.		
			98-1089764	4520225			The Global Atlantic Financial Group LLC	BMU	NIA	Third Party Investors	Ownership	38.500	Third Party Investors		
			98-1563045				KKR Magnolia Holdings LLC	CYM	NIA	KKR Group Partnership L.P.	Ownership	100.000	KKR & Co. Inc.		
			98-0598047				KKR Group Partnership L.P.	CYM	NIA	KKR & Co, Inc.	Ownership	67.000	KKR & Co. Inc.		
3891	Global Atlantic Grp	69140	04-1867050	2578101	793699		First Allmerica Financial Life Insurance Company	MA	RE	Commonwealth Annuity and Life Insurance Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
3891	Global Atlantic Grp	84824	04-6145677	3958278	1391312		Commonwealth Annuity and Life Insurance Company	MA	IA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
3891	Global Atlantic Grp	62200	95-2496321				Accordia Life and Annuity Company	IA	IA	Commonwealth Annuity and Life Insurance Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			46-3694412				Gotham Issuer, LLC	DE	NIA	Global Atlantic (Fin) Company	Ownership	10.000	The Global Atlantic Financial Group LLC		
			46-3694412				Gotham Issuer, LLC	DE	NIA	Accordia Life and Annuity Company	Ownership	90.000	The Global Atlantic Financial Group LLC		
			95-2496321				DBE Solar Holdco LLC	DE	NIA	Accordia Life and Annuity Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
3891	Global Atlantic Grp	15333	46-3455515				Gotham Re, Inc.	VT	IA	Accordia Life and Annuity Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			95-2496321				Tapioca View, LLC	DE	NIA	Accordia Life and Annuity Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
3891	Global Atlantic Grp	15475	46-3465867				Cape Verity I, Inc.	IA	IA	Accordia Life and Annuity Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
3891	Global Atlantic Grp	15473	46-3485456				Cape Verity III, Inc.	IA	IA	Accordia Life and Annuity Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			35-1960899				Global Atlantic Investment Advisors, LLC	IN	NIA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			20-3944031				Global Atlantic Distributors, LLC	DE	NIA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		
			35-1815415				ForeLife Agency, Inc	IN	NIA	Global Atlantic (Fin) Company	Ownership	100.000	The Global Atlantic Financial Group LLC		

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
..3891	Global Atlantic Grp	..91642	06-1016329		1554348		Forethought Life Insurance Company	..IN	..IA	Commonwealth Annuity and Life Insurance Company	Ownership	..100.000	The Global Atlantic Financial Group LLC		
			81-3323212				Global Atlantic Equipment Management, LLC	..DE	..NIA	Global Atlantic (Fin) Company	Ownership	..100.000	The Global Atlantic Financial Group LLC		
			98-1452583				Global Atlantic Assurance Limited	..BMJ	..NIA	Global Atlantic Financial Limited	Ownership	..100.000	The Global Atlantic Financial Group LLC		
			98-1451597				GA Re Bermuda HoldCo Limited	..BMJ	..NIA	Global Atlantic Financial Limited	Ownership	..100.000	The Global Atlantic Financial Group LLC		
			83-2239712				GA Re US HoldCo, LP	..DE	..NIA	Global Atlantic Financial Limited	Ownership	..99.000	The Global Atlantic Financial Group LLC		
			83-2239712				GA Re US HoldCo, LP	..DE	..NIA	GA Re Bermuda HoldCo Limited	Ownership	..1.000	The Global Atlantic Financial Group LLC		
			98-1090854				EXL Solar HoldCo, LLC	..DE	..NIA	Global Atlantic Re Limited	Ownership	..100.000	The Global Atlantic Financial Group LLC		
			04-6145677				Na Solar HoldCo, LLC	..DE	..NIA	Commonwealth Annuity and Life Insurance Company	Ownership	..100.000	The Global Atlantic Financial Group LLC		

**NONE**

Asterisk	Explanation

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A

Explanation:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]	
2. Medicare Part D Coverage Supplement [Document Identifier 365]	
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]	
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	

## OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31 Prior Year
2504. Dividends Payable .....	765,000	1,000,000
2597. Summary of remaining write-ins for Line 25 from overflow page	765,000	1,000,000

Additional Write-ins for Summary of Operations Line 8.3

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
08.304. Funds withheld assumed net investment income .....	(331,115)	8,870,045	207,919
08.305. Miscellaneous income .....	(22,021)	(17,939)	45,222
08.397. Summary of remaining write-ins for Line 8.3 from overflow page	(353,136)	8,852,106	253,141

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	5,897,583	9,697,358
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		925
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		37,712
7. Deduct amounts received on disposals .....	187,984	3,780,734
8. Deduct amortization of premium and mortgage interest points and commitment fees .....	47,708	57,678
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	5,661,891	5,897,583
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	5,661,891	5,897,583
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14)	5,661,891	5,897,583

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	12,420,943	15,387,936
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	85,857	
2.2 Additional investment made after acquisition .....	123,320	508,609
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....	589,032	(776,724)
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....	5,821,286	2,698,878
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	7,397,866	12,420,943
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	7,397,866	12,420,943

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	2,067,627,812	2,215,950,223
2. Cost of bonds and stocks acquired .....	515,308,911	154,610,591
3. Accrual of discount .....	9,930,195	19,120,563
4. Unrealized valuation increase (decrease) .....	(15,000)	
5. Total gain (loss) on disposals .....	2,803,748	22,352,884
6. Deduct consideration for bonds and stocks disposed of .....	311,346,007	336,805,577
7. Deduct amortization of premium .....	4,744,064	9,071,284
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....	42,064	
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	748,304	1,470,412
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	2,280,271,835	2,067,627,812
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	2,280,271,835	2,067,627,812

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	973,193,126	326,454,894	147,037,843	28,928,639	997,314,506	973,193,126	1,181,538,816	1,029,573,336
2. NAIC 2 (a) .....	1,049,068,347	133,312,114	75,760,123	(26,135,008)	1,030,300,452	1,049,068,347	1,080,485,330	1,016,557,291
3. NAIC 3 (a) .....	18,318,110	4,023,485	4,071,600	(463,018)	20,939,809	18,318,110	17,806,977	21,497,185
4. NAIC 4 (a) .....		956,319	956,296	(23)				
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds	2,040,579,583	464,746,812	227,825,862	2,330,590	2,048,554,766	2,040,579,583	2,279,831,123	2,067,627,812
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....		1,989,361	374,361	(15,000)			1,600,000	
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock		1,989,361	374,361	(15,000)			1,600,000	
15. Total Bonds and Preferred Stock	2,040,579,583	466,736,173	228,200,223	2,315,590	2,048,554,766	2,040,579,583	2,281,431,123	2,067,627,812

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 1,159,288 ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

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## SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	1,159,288	xxx	1,167,383	7	10,254

## SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of short-term investments acquired .....	4,236,715	
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	483	
6. Deduct consideration received on disposals .....	3,059,123	
7. Deduct amortization of premium .....	18,787	
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,159,288	
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	1,159,288	

## SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year) .....	1,528,657
2. Cost Paid/(Consideration Received) on additions .....	1,186,610
3. Unrealized Valuation increase/(decrease) .....	14,991
4. SSAP No. 108 adjustments .....	
5. Total gain (loss) on termination recognized .....	
6. Considerations received/(paid) on terminations .....	2,730,258
7. Amortization .....	
8. Adjustment to the Book/Adjusted Carrying Value of hedged item .....	
9. Total foreign exchange change in Book/Adjusted Carrying Value .....	
10. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9) .....	
11. Deduct nonadmitted assets .....	
12. Statement value at end of current period (Line 10 minus Line 11) .....	

## SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year) .....	(227,995)
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column) .....	
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus .....	(48,345)
3.12 Section 1, Column 15, prior year .....	(227,995) 179,650
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus .....	
3.14 Section 1, Column 18, prior year .....	179,650
3.2 Add:	
Change in adjustment to basis of hedged item	
3.21 Section 1, Column 17, current year to date minus .....	
3.22 Section 1, Column 17, prior year .....	
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus .....	
3.24 Section 1, Column 19, prior year plus .....	
3.25 SSAP No. 108 adjustments .....	
3.3 Subtotal (Line 3.1 minus Line 3.2) .....	179,650
4.1 Cumulative variation margin on terminated contracts during the year .....	120,940
4.2 Less:	
4.21 Amount used to adjust basis of hedged item .....	
4.22 Amount recognized .....	120,940
4.23 SSAP No. 108 adjustments .....	120,940
4.3 Subtotal (Line 4.1 minus Line 4.2) .....	
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year .....	
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year .....	
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2) .....	(48,345)
7. Deduct total nonadmitted amounts .....	
8. Statement value at end of current period (Line 6 minus Line 7) .....	(48,345)

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

## SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	(48,345)
3.	Total (Line 1 plus Line 2).....	(48,345)
4.	Part D, Section 1, Column 6.....	
5.	Part D, Section 1, Column 7.....	(48,345)
6.	Total (Line 3 minus Line 4 minus Line 5).....	
		Fair Value Check
7.	Part A, Section 1, Column 16.....	
8.	Part B, Section 1, Column 13.....	(48,345)
9.	Total (Line 7 plus Line 8).....	(48,345)
10.	Part D, Section 1, Column 9.....	
11.	Part D, Section 1, Column 10.....	(48,345)
12.	Total (Line 9 minus Line 10 minus Line 11).....	
		Potential Exposure Check
13.	Part A, Section 1, Column 21.....	
14.	Part B, Section 1, Column 20.....	80,500
15.	Part D, Section 1, Column 12.....	80,500
16.	Total (Line 13 plus Line 14 minus Line 15).....	

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	54,886,104	103,850,225
2. Cost of cash equivalents acquired .....	505,072,590	822,358,035
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		(11,499)
6. Deduct consideration received on disposals .....	476,375,225	871,310,657
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	83,583,469	54,886,104
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	83,583,469	54,886,104

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		3 State	4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City								
<b>NONE</b>									
3399999 - Totals									

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					13 Total Foreign Exchange Change in Book Value	14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						
805153525	Chicago	IL		07/31/2015		23,019		(2,907)			(2,907)		20,112		20,112		
805153541	CARMEL	IN		07/31/2015		43,788		(396)			(396)		43,392		43,392		
0299999. Mortgages with partial repayments						66,807		(3,303)			(3,303)		63,504		63,504		
0599999 - Totals																	



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
362046-Y3-0	GN 369830 - RIBS		07/28/2021	RE INSURANCE		4,879	4,361	.21	1.A
912810-SX-7	UNITED STATES TREASURY		09/28/2021	J.P. MORGAN SECURITIES INC.		74,443,359	70,000,000	618,920	1.A
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						74,448,238	70,004,361	618,941	XXX
141844-AF-7	CARIBBEAN DEVELOPMENT BANK	C.	07/28/2021	RE INSURANCE		1,127,500	1,000,000	9,601	1.B FE
268317-AU-8	ELECTRICITE DE FRANCE SA	C.	07/28/2021	RE INSURANCE		1,747,179	1,493,000	23,701	2.A FE
<b>1099999. Subtotal - Bonds - All Other Governments</b>						2,874,679	2,493,000	33,302	XXX
68607L-XQ-5	OREGON ST		07/28/2021	RE INSURANCE		640,028	525,000	4,898	1.B FE
<b>1799999. Subtotal - Bonds - U.S. States, Territories and Possessions</b>						640,028	525,000	4,898	XXX
059189-NR-7	BALTIMORE MD		07/28/2021	RE INSURANCE		1,118,290	1,000,000	14,306	1.C FE
160879-KG-6	CHARLOTTE MICH PUB SCH DIST		07/28/2021	RE INSURANCE		1,004,290	1,000,000	14,621	1.C FE
199507-V4-3	COLUMBUS OHIO CITY SCH DIST		07/28/2021	RE INSURANCE		858,352	855,000	7,527	1.C FE
282726-DM-7	EL CAMINO CALIF CMNTY COLLEGE DIST		07/28/2021	RE INSURANCE		1,954,160	2,000,000		1.B FE
365298-L9-7	GARDEN GROVE CALIF UNI SCH DIST		07/28/2021	RE INSURANCE		1,421,580	1,000,000	29,648	1.D FE
484008-MU-8	KANE CNTY ILL SCH DIST NO 131 AURORA EAS		07/28/2021	RE INSURANCE		1,237,179	1,010,000	15,739	1.E FE
544203-BK-7	LOS ALAMITOS CALIF UNI SCH DIST		07/28/2021	RE INSURANCE		1,228,780	1,000,000	30,434	1.B FE
567373-YM-1	MARICOPA CNTY ARIZ UNI SCH DIST NO 69 PA		07/28/2021	RE INSURANCE		1,004,130	1,000,000	4,500	1.B FE
567389-TA-9	MARICOPA CNTY ARIZ UNI SCH DIST NO 80 CH		07/28/2021	RE INSURANCE		1,189,510	1,000,000	4,376	1.C FE
64966H-YW-4	NEW YORK N Y		07/28/2021	RE INSURANCE		650,540	550,000	4,917	1.C FE
717883-KG-6	PHILADELPHIA PA SCH DIST		07/28/2021	RE INSURANCE		1,086,130	1,000,000	9,356	1.F FE
717883-SD-5	PHILADELPHIA PA SCH DIST		07/28/2021	RE INSURANCE		2,485,760	2,000,000	41,323	1.F FE
799055-ME-5	SAN MATEO FOSTER CITY CALIF SCH DIST		07/28/2021	RE INSURANCE		538,010	455,000	13,423	1.B FE
968657-FS-0	WILL CNTY ILL		07/28/2021	RE INSURANCE		1,144,420	1,000,000	10,291	1.B FE
<b>2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>						16,921,131	14,870,000	200,459	XXX
02765U-CN-4	AMERICAN MUN PIIR OHIO INC REV		07/28/2021	RE INSURANCE		1,685,768	1,400,000	36,785	1.F FE
04048R-DP-8	ARIZONA BRD REGENTS ARIZ ST UNIV SYS REV		07/28/2021	RE INSURANCE		1,099,240	1,000,000	4,146	1.C FE
04057P-JA-7	ARIZONA SCH FACS BRD CTFS PARTN		07/28/2021	RE INSURANCE		1,137,348	900,000	22,050	1.D FE
13124J-AL-6	CALLEGUAS-LAS VIRGENES CALIF PUB FING AU		07/28/2021	RE INSURANCE		902,564	815,000	3,331	1.C FE
160075-VN-4	CHARLESTON CNTY S C SCH DIST		07/28/2021	RE INSURANCE		1,014,186	1,005,000	1,260	1.C FE
183596-CY-9	BALTIMORE MD BRD SCH COMMSR CITY SCHS RE		07/28/2021	RE INSURANCE		1,199,810	1,000,000	6,799	1.B FE
19625A-AU-6	COLONY TEX LOC DEV CORP SALES TAX INCREM		07/28/2021	RE INSURANCE		2,512,700	2,000,000	30,102	1.B FE
20281P-E0-1	COMMONWEALTH FING AUTH PA REV		07/28/2021	RE INSURANCE		1,225,250	1,000,000	8,846	1.E FE
207758-KH-5	CONNECTICUT ST SPL TAX OBLIG REV		07/28/2021	RE INSURANCE		954,365	755,000	6,862	1.D FE
230593-AA-3	CUMBERLAND CNTY N C LTD OBLIG		07/28/2021	RE INSURANCE		1,004,260	1,000,000	14,742	1.C FE
235036-6Z-8	DALLAS FORT WORTH TEX INTL ARPT REV		07/28/2021	RE INSURANCE		640,323	610,000	4,554	1.E FE
235036-G2-0	DALLAS FORT WORTH TEX INTL ARPT REV		07/28/2021	RE INSURANCE		1,060,040	1,000,000	12,083	1.E FE
296357-BV-7	ESCONDIDO CALIF UN HIGH SCH DIST CTFS PA		07/28/2021	RE INSURANCE		725,194	710,000	8,038	1.E FE
3136AW-WF-0	FNA 2017-M05 A2 - CMBS		07/28/2021	RE INSURANCE		552,721	488,711	1,158	1.A
3137BK-GK-0	FHMS K-1501 A3 - CMBS		07/28/2021	RE INSURANCE		1,761,810	1,513,457	3,985	1.A
3137BP-VN-6	FHMS K-1502 A2 - CMBS		07/28/2021	RE INSURANCE		1,119,233	983,058	2,271	1.A
3137BX-HC-9	FHMS K-1503 A3 - CMBS		07/28/2021	RE INSURANCE		2,112,865	1,840,000	4,301	1.A
3137FP-J9-7	FHMS K-1513 A3 - CMBS		07/28/2021	RE INSURANCE		2,177,055	1,946,200	4,083	1.A
3138L3-P5-8	FN AM3143 - CMBS/RIBS		07/28/2021	RE INSURANCE		1,187,959	1,061,623	2,747	1.A
31396P-GR-6	FNA 2006-M2 A3F - CMBS		07/28/2021	RE INSURANCE		399,151	367,948	1,475	1.A
413890-EZ-3	HARRIS CNTY-HOUSTON TEX SPORTS AUTH REV		07/28/2021	RE INSURANCE		739,073	750,000	6,774	2.B FE
41978C-AL-9	HAWAII ST ARPTS SYS CUSTOMER FAC CHARGE		07/28/2021	RE INSURANCE		1,094,780	1,000,000	2,531	1.F FE
419794-G4-8	HAWAII ST ARPTS SYS REV		07/28/2021	RE INSURANCE		457,361	455,000	1,706	1.E FE
442349-EU-6	HOUSTON TEX ARPT SYS REV		07/28/2021	RE INSURANCE		187,963	180,000	335	1.F FE
45656T-AY-4	INDUSTRY CALIF PUB FACS AUTH TAX ALLOCAT		07/28/2021	RE INSURANCE		1,356,524	1,235,000	4,024	1.C FE
46263R-LM-5	IPS MULTI-SCH BLDG CORP IND		07/28/2021	RE INSURANCE		158,099	140,000	253	1.C FE
499396-AV-1	KNOX CNTY IND ECONOMIC DEV REV		07/28/2021	RE INSURANCE		533,088	485,000	9,300	2.C FE
542690-W6-5	LONG ISLAND PIIR AUTH N Y ELEC SYS REV		07/28/2021	RE INSURANCE		1,131,270	1,000,000	13,171	1.F FE
544712-2K-7	LOS ANGELES CNTY CALIF MET TRANSN AUTH S		07/28/2021	RE INSURANCE		1,166,240	1,000,000	8,123	1.B FE
574300-JN-0	MARYLAND ST TRANSN AUTH TRANSN FACS PROJ		07/28/2021	RE INSURANCE		891,944	815,000	3,157	1.C FE
575832-UH-1	MASSACHUSETTS ST COLLEGE BLDG AUTH PROJ		07/28/2021	RE INSURANCE		1,310,360	1,000,000	15,443	1.D FE

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
59164G-CX-8	METRO WASTEWR RECLAMATION DIST COLO SWR		07/28/2021	RE INSURANCE		1,281,120	1,000,000	18,769	1.B FE
59333P-W3-8	MIAMI-DADE CNTY FLA AVIATION REV		07/28/2021	RE INSURANCE		1,622,280	1,500,000	16,604	1.G FE
59447T-CV-3	MICHIGAN FIN AUTH REV		07/28/2021	RE INSURANCE		1,099,320	1,000,000	14,480	1.C FE
613603-ZG-7	MONTGOMERY CNTY PA HIGHER ED & HEALTH AU		07/28/2021	RE INSURANCE		336,927	300,000	4,631	1.F FE
64972H-RT-1	NEW YORK N Y CITY TRANSITIONAL FIN AUTH		07/28/2021	RE INSURANCE		1,243,930	1,000,000	1,806	1.C FE
64989V-DM-2	NEW YORK ST MUN BD BK AGY RECOVERY ACT		07/28/2021	RE INSURANCE		1,089,060	1,000,000	11,806	1.E FE
64990C-JW-2	NEW YORK STATE DORMITORY AUTHORITY		07/28/2021	RE INSURANCE		1,134,490	1,000,000	3,168	2.A FE
64990G-GJ-5	NEW YORK STATE DORMITORY AUTHORITY		07/28/2021	RE INSURANCE		605,175	500,000	12,528	2.C FE
658289-L5-0	NORTH CAROLINA ST UNIV N C RALEIGH REV		07/28/2021	RE INSURANCE		77,840	75,000	736	1.C FE
677561-LN-0	OHIO ST HOSP FAC REV		07/28/2021	RE INSURANCE		317,339	285,000	700	1.C FE
67908P-AX-4	OKLAHOMA ST CAPITOL IMPT AUTH REV		07/28/2021	RE INSURANCE		1,114,360	1,000,000	3,840	1.D FE
691879-EW-6	OXNARD CALIF FING AUTH WTR REV		07/28/2021	RE INSURANCE		882,644	700,000	7,558	1.F FE
70870J-BL-1	PENNSYLVANIA ECONOMIC DEV FING AUTH UPMC		07/28/2021	RE INSURANCE		1,109,020	1,000,000	6,491	1.F FE
783186-NJ-4	RUTGERS ST UNIV N J		07/28/2021	RE INSURANCE		1,191,820	1,000,000	13,400	1.E FE
79876C-BJ-6	SAN MARCOS CALIF REDEV AGY SUCCESSOR AGY		07/28/2021	RE INSURANCE		1,193,250	1,000,000	14,515	1.D FE
80168N-EP-0	SANTA CLARA VY CALIF TRANS AUTH SALES T		07/28/2021	RE INSURANCE		2,268,950	1,000,000	19,097	1.C FE
85732P-CC-2	STATE PUB SCH BLDG AUTH PA REV		07/28/2021	RE INSURANCE		1,207,360	1,000,000	20,046	1.F FE
88213A-HU-2	TEXAS A & M UNIV REVS		07/28/2021	RE INSURANCE		1,179,400	1,000,000	7,160	1.A FE
88278P-ZM-9	TEXAS ST UNIV SYS FING REV		07/28/2021	RE INSURANCE		189,819	180,000	2,187	1.C FE
89602N-U3-6	TRIBOROUGH BRDG & TUNL AUTH N Y REVS		07/28/2021	RE INSURANCE		2,161,240	2,000,000	16,766	1.E FE
92812V-D7-8	VIRGINIA ST HSG DEV AUTH		07/28/2021	RE INSURANCE		737,382	690,000	3,978	1.B FE
95879Z-CD-3	WESTERN NASSAU CNTY N Y WTR AUTH WTR SYS		07/28/2021	RE INSURANCE		784,616	705,000	13,764	1.E FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						<b>55,305,884</b>	<b>48,390,996</b>	<b>458,459</b>	<b>XXX</b>
00182E-BF-5	ANZ NEW ZEALAND INTL LTD (LONDON BRANCH)	C.	07/28/2021	RE INSURANCE		1,115,173	1,000,000	1,054	1.E FE
00206R-CN-0	AT&T INC		07/28/2021	RE INSURANCE		1,091,749	1,000,000	6,894	2.B FE
00206R-DS-8	AT&T INC		07/28/2021	RE INSURANCE		255,513	190,000	4,228	2.B FE
00206R-HJ-4	AT&T INC		07/28/2021	RE INSURANCE		174,920	150,000	2,664	2.B FE
00287Y-AQ-2	ABBVIE INC		07/28/2021	RE INSURANCE		1,094,371	1,000,000	7,400	2.B FE
007589-AA-2	ADVOCATE HEALTH AND HOSPITALS CORP		07/28/2021	RE INSURANCE		571,073	500,000	8,668	1.C FE
00817Y-AF-5	AETNA INC		07/28/2021	RE INSURANCE		67,777	46,000	364	2.B FE
008685-AB-5	AHOLD FINANCE USA LLC		07/28/2021	RE INSURANCE		836,898	613,000	10,185	2.B FE
01626P-AQ-9	ALIMENTATION COUCHE-TARD INC	C.	07/27/2021	MORGAN STANLEY & CO. LLC		85,906	80,000	612	2.B FE
03027X-AW-0	AMERICAN TOWER CORP		07/27/2021	MORGAN STANLEY & CO. LLC		2,258,800	2,000,000	34,622	2.C FE
030360-AB-7	AMERICAN UNIVERSITY		07/28/2021	RE INSURANCE		1,066,660	1,000,000	10,150	1.E FE
032654-AX-3	ANALOG DEVICES INC		09/29/2021	JP Morgan Securities Inc.		5,030,300	5,000,000		1.G FE
037411-AK-1	APACHE CORP		07/28/2021	RE INSURANCE		513,300	435,000	9,894	3.A FE
038222-AJ-4	APPLIED MATERIALS INC		07/28/2021	RE INSURANCE		1,123,679	1,000,000	12,675	1.G FE
03836W-AC-7	ESSENTIAL UTILITIES INC		07/27/2021	MORGAN STANLEY & CO. LLC		119,023	97,000	1,014	2.B FE
038923-AQ-1	ARBOR REALTY TRUST INC		07/28/2021	RE INSURANCE		475,791	481,000	7,997	1.F PL
05348E-BC-2	AVALONBAY COMMUNITIES INC		08/25/2021	SG AMERICAS SECURITIES, LLC		1,968,156	1,800,000	6,720	1.G FE
05490T-AA-0	BBOCR 2015-GTP A - CMBS		07/28/2021	RE INSURANCE		1,095,141	1,000,000	2,424	1.D FM
05526Q-AA-4	BAMILL 2015-200P A - CMBS		07/28/2021	RE INSURANCE		1,073,330	1,000,000	2,414	1.D FM
056083-AA-6	BXP 2017-GM A - CMBS		07/28/2021	RE INSURANCE		268,696	243,000	616	1.D FM
05971K-AC-3	BANCO SANTANDER SA	C.	07/27/2021	MORGAN STANLEY & CO. LLC		1,831,723	1,662,000	4,884	1.F FE
06051G-FP-9	BANK OF AMERICA CORP		07/28/2021	RE INSURANCE		1,098,905	1,000,000	10,643	2.A FE
064159-HB-5	BANK OF NOVA SCOTIA	C.	07/28/2021	RE INSURANCE		1,139,615	1,000,000	5,250	2.A FE
06652K-AA-1	BANKUNITED INC		07/28/2021	RE INSURANCE		572,869	500,000	4,807	2.B FE
067316-AF-6	BACARDI LTD	C.	07/28/2021	RE INSURANCE		2,351,142	2,000,000	19,061	2.C FE
07177M-AB-9	BAXALTA INC		07/28/2021	RE INSURANCE		1,108,263	1,000,000	3,889	2.B FE
09062X-AJ-2	BIOTEN INC		07/27/2021	MORGAN STANLEY & CO. LLC		116,474	115,000	1,692	2.A FE
097023-CD-5	BOEING CO		07/28/2021	RE INSURANCE		534,436	506,000	6,612	2.C FE
097023-CK-9	BOEING CO		07/28/2021	RE INSURANCE		328,537	315,000	2,969	2.C FE
10010Y-AA-0	TURK HAVA YOLLARI AQ - ABS		07/28/2021	RE INSURANCE		600,051	404,708	9,869	4.B FE
10112R-AU-8	BOSTON PROPERTIES LP		07/28/2021	RE INSURANCE		1,040,979	1,000,000	18,929	2.A FE
10112R-BD-5	BOSTON PROPERTIES LP		07/28/2021	RE INSURANCE		1,082,237	995,000	15,989	2.A FE

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
103730-BP-4	BP CAPITAL MARKETS AMERICA INC		07/27/2021	MORGAN STANLEY & CO. LLC		120,204	122,000	548	1.F FE
10510K-AC-1	BRAMBLES USA INC		07/28/2021	REINSURANCE		1,117,929	1,000,000	10,885	2.A FE
105340-AQ-6	BRANDYVINE OPERATING PARTNERSHIP LP		07/28/2021	REINSURANCE		777,963	709,000	5,679	2.C FE
110122-DL-9	BRISTOL-MYERS SQUIBB CO		07/27/2021	MORGAN STANLEY & CO. LLC		118,642	89,000	1,789	1.F FE
110424-AA-2	BRITISH AIRWAYS PASS THROUGH TRUST 2013-	C.	07/28/2021	REINSURANCE		167,016	158,389	773	1.F FE
11043X-AA-1	IAGLN 2019-1 AA - ABS		07/28/2021	REINSURANCE		211,341	204,562	806	1.F FE
111021-AL-5	BRITISH TELECOMMUNICATIONS PLC	C.	07/27/2021	MORGAN STANLEY & CO. LLC		2,381,160	2,000,000	15,660	2.B FE
11135F-BG-5	BROADCOM INC		07/27/2021	MORGAN STANLEY & CO. LLC		117,518	111,000	2,197	2.C FE
11373M-AA-5	BROOKLINE BANCORP INC		07/28/2021	REINSURANCE		1,054,032	1,000,000	22,167	2.C FE
114259-AT-1	BROOKLYN UNION GAS CO		07/28/2021	REINSURANCE		558,111	500,000	7,730	2.A FE
12201P-AB-2	BURLINGTON RESOURCES LLC	C.	07/28/2021	REINSURANCE		2,197,326	1,503,000	48,998	1.G FE
125523-AK-6	CIGNA CORP		07/21/2021	Citigroup (SSB)		4,096,712	3,100,000	16,034	2.A FE
125523-BK-5	CIGNA CORP		07/27/2021	MORGAN STANLEY & CO. LLC		91,870	80,000	896	2.A FE
126408-HT-3	CSX CORP		09/30/2021	Morgan Stanley		2,726,850	3,000,000	28,958	2.A FE
126650-BV-1	CVSPAS 2010 CTF - CMBS		07/28/2021	REINSURANCE		540,458	453,071	1,308	2.B
126650-BY-5	CVSPAS 2011 CTF - CMBS		07/28/2021	REINSURANCE		314,295	256,928	971	2.B
126650-BZ-5	CVSPAS 2011 CTF - CMBS		07/28/2021	REINSURANCE		(90)	(90)	(210)	2.B FE
126650-CZ-1	CVS HEALTH CORP		09/01/2021	Wachovia Bank		991,403	750,000	16,623	2.B FE
133131-AW-2	CAMDEN PROPERTY TRUST		07/28/2021	REINSURANCE		2,907,274	2,495,000	29,268	1.G FE
14162V-AB-2	SABRA HEALTH CARE LP		07/28/2021	REINSURANCE		1,137,177	1,000,000	23,205	2.C FE
141781-BK-9	CARGILL INC		07/28/2021	REINSURANCE		1,114,272	1,000,000	5,868	1.F FE
149123-CF-6	CATERPILLAR INC		07/27/2021	MORGAN STANLEY & CO. LLC		119,544	106,000	1,244	1.F FE
149123-CJ-8	CATERPILLAR INC		09/30/2021	CREDIT SUISSE SECURITIES		4,911,930	4,500,000	71,094	1.F FE
161175-AY-0	CHARTER COMMUNICATIONS OPERATING LLC		07/28/2021	REINSURANCE		1,134,925	1,000,000	682	2.C FE
166756-AW-6	CHEVRON USA INC		07/27/2021	MORGAN STANLEY & CO. LLC		109,056	80,000	1,804	1.D FE
17108J-AA-1	CHRISTUS HEALTH		07/28/2021	REINSURANCE		582,789	500,000	1,628	1.E FE
198280-AH-2	COLUMBIA PIPELINE GROUP INC		07/28/2021	REINSURANCE		1,404,857	1,000,000	9,183	1.G FE
20030N-BT-7	COMCAST CORP		07/28/2021	REINSURANCE		554,614	506,000	585	1.G FE
2027A0-JT-7	COMMONWEALTH BANK OF AUSTRALIA	C.	07/28/2021	REINSURANCE		2,205,894	2,000,000	22,575	1.D FE
210795-0B-9	UNITED AIRLINES 2012-2 PASS THROUGH TRUS		07/28/2021	REINSURANCE		414,594	390,884	3,865	2.C FE
22822V-AU-5	CROWN CASTLE INTERNATIONAL CORP		07/28/2021	REINSURANCE		1,179,621	1,150,000	1,350	2.C FE
23338V-AK-2	DTE ELECTRIC CO		07/27/2021	MORGAN STANLEY & CO. LLC		1,641,231	1,570,000	14,523	1.E FE
233851-DT-8	DAIMLER FINANCE NORTH AMERICA LLC		07/28/2021	REINSURANCE		731,220	621,000	11,571	2.A FE
24703T-AG-1	DELL INTERNATIONAL LLC		07/28/2021	REINSURANCE		482,964	396,000	6,821	2.C FE
25156P-BA-0	DEUTSCHE TELEKOM INTERNATIONAL FINANCE B	C.	07/28/2021	REINSURANCE		1,109,428	1,000,000	900	2.B FE
25179S-AD-2	DEVON FINANCING COMPANY LLC		07/28/2021	REINSURANCE		1,415,650	1,000,000	25,813	2.C FE
25746U-CY-3	DOMINION ENERGY INC		07/28/2021	REINSURANCE		1,163,796	1,000,000	6,729	2.B FE
26078J-AD-2	DUPONT DE NEMOURS INC		07/28/2021	REINSURANCE		539,666	450,000	4,312	2.A FE
26442U-AM-6	DUKE ENERGY PROGRESS LLC		08/09/2021	CITIGROUP GLOBAL MARKETS, INC		24,955,000	25,000,000		1.F FE
26875P-AU-5	EOG RESOURCES INC		07/27/2021	MORGAN STANLEY & CO. LLC		1,487,432	1,242,000	15,698	1.G FE
26885B-AC-4	EQM MIDSTREAM PARTNERS LP		07/28/2021	REINSURANCE		545,252	502,000	997	3.C FE
278642-AX-1	EBAY INC		08/25/2021	PERSHING LLC		1,911,989	1,900,000	7,906	2.A FE
291011-BM-5	EMERSON ELECTRIC CO		07/27/2021	MORGAN STANLEY & CO. LLC		119,098	116,000	922	1.F FE
29273R-AR-0	ENERGY TRANSFER LP		07/28/2021	REINSURANCE		1,319,151	1,003,000	32,054	2.C FE
29336U-AD-9	ENLINK MIDSTREAM PARTNERS LP		07/28/2021	REINSURANCE		931,520	1,000,000	16,413	3.A FE
29364N-AU-2	ENTERGY MISSISSIPPI LLC		07/28/2021	REINSURANCE		1,095,159	1,000,000	5,146	1.F FE
29444U-BJ-4	EQUINIX INC		07/27/2021	MORGAN STANLEY & CO. LLC		120,395	121,000	141	2.B FE
29446M-AC-6	EQUINOR ASA	C.	07/27/2021	MORGAN STANLEY & CO. LLC		119,382	110,000	705	1.D FE
29717P-AN-7	ESSEX PORTFOLIO LP		07/28/2021	REINSURANCE		1,076,749	1,000,000	9,365	2.A FE
29977G-AA-0	TIIAA FSB HOLDINGS INC		07/28/2021	REINSURANCE		1,078,911	1,000,000	4,153	1.G FE
30231G-AT-9	EXXON MOBIL CORP		07/28/2021	REINSURANCE		1,097,945	1,000,000	12,426	1.D FE
30315D-AS-7	FREM 2019-K103 B - CMBS		07/28/2021	REINSURANCE		1,367,283	1,254,000	3,248	1.D FM
313918-AA-2	FEDERAL REALTY INVESTMENT TRUST		07/28/2021	REINSURANCE		583,953	465,000	15,592	2.A FE
33766Q-AC-1	FEQH 2013 A3 - ABS		07/28/2021	REINSURANCE		932,605	844,038	1,052	1.A FE
337915-AA-0	HUNTINGTON BANCSHARES INC		07/28/2021	REINSURANCE		1,588,329	1,506,000	31,664	2.A FE

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
33938E-AU-1	FLEX LTD	C	07/28/2021	REINSURANCE		1,120,858	1,000,000	5,674	2.C FE
35137L-AH-8	FOX CORP		07/28/2021	REINSURANCE		1,185,424	1,000,000	392	2.B FE
35671D-AZ-8	FREEMPORT-MCMORAN INC		07/28/2021	REINSURANCE		1,245,312	1,200,000	17,179	3.A FE
36156H-AH-1	GOCE 2003-2 B2R - ABS		07/28/2021	REINSURANCE		929,342	1,000,000	770	1.C FE
36228C-UV-3	GSM 2005-ROCK A - CMBS		07/28/2021	REINSURANCE		580,960	507,662	2,043	1.D FM
369550-BJ-6	GENERAL DYNAMICS CORP		07/27/2021	MORGAN STANLEY & CO. LLC		104,801	80,000	1,114	1.G FE
37045X-CY-0	GENERAL MOTORS FINANCIAL COMPANY INC		07/28/2021	REINSURANCE		1,534,316	1,400,000	5,180	2.C FE
373298-CF-3	GEORGIA-PACIFIC LLC		07/28/2021	REINSURANCE		1,180,843	1,000,000	2,889	1.G FE
373334-KN-0	GEORGIA POWER CO		07/27/2021	MORGAN STANLEY & CO. LLC		118,399	106,000	1,950	2.A FE
375558-BF-9	GILEAD SCIENCES INC		07/28/2021	REINSURANCE		1,107,872	1,000,000	14,904	2.A FE
377372-AN-7	GLAXOSMITHKLINE CAPITAL INC		07/28/2021	REINSURANCE		288,218	249,000	1,957	1.F FE
391382-AB-4	GREAT-WEST LIFE CO FINANCE (DELAWARE) LP		09/01/2021	Jane Street		1,004,124	825,000	8,559	1.F FE
418056-AH-0	HASBRO INC		07/28/2021	REINSURANCE		1,736,961	1,370,000	3,265	2.C FE
418056-AU-1	HASBRO INC		07/28/2021	REINSURANCE		1,238,994	1,000,000	10,342	2.C FE
419838-AA-5	HAWAIIAN AIRLINES 2013-1 PASS THROUGH TR		07/28/2021	REINSURANCE		356,268	357,674	504	4.B FE
42234Q-AD-4	HEARTLAND FINANCIAL USA INC		07/28/2021	REINSURANCE		1,102,151	1,000,000	4,472	2.B FE
42250P-AB-9	HEALTHPEAK PROPERTIES INC		07/28/2021	REINSURANCE		218,957	205,000	213	2.A FE
432748-AB-7	HILLTOP HOLDINGS INC		07/28/2021	REINSURANCE		1,067,613	1,000,000	14,306	2.A FE
436106-AA-6	HOLLYFRONTIER CORP		07/28/2021	REINSURANCE		2,320,866	2,000,000	38,188	2.C FE
437076-CG-5	HOME DEPOT INC		07/21/2021	DEUTSCHE BANK SECURITIES, INC.		4,971,029	5,300,000	68,532	1.F FE
438516-BS-4	HONEYWELL INTERNATIONAL INC		07/27/2021	MORGAN STANLEY & CO. LLC		119,292	97,000	698	1.F FE
44217N-AC-0	HGMT 2015-HGLR 1A2 - CMBS		07/28/2021	REINSURANCE		1,045,836	1,000,000	2,315	1.D FM
443510-AG-7	HUBBELL INC		07/28/2021	REINSURANCE		1,088,478	1,000,000	13,679	2.A FE
453258-AP-0	VALE CANADA LTD	C	07/28/2021	REINSURANCE		1,311,030	1,000,000	26,600	2.C FE
459200-KA-8	INTERNATIONAL BUSINESS MACHINES CORP		07/28/2021	REINSURANCE		1,127,936	1,000,000	7,097	1.G FE
459506-AS-0	INTERNATIONAL FLAVORS & FRAGRANCES INC		07/27/2021	MORGAN STANLEY & CO. LLC		119,753	110,000	615	2.B FE
460146-CH-4	INTERNATIONAL PAPER CO		07/28/2021	REINSURANCE		1,453,985	1,000,000	12,167	2.B FE
46647P-AL-0	JPMORGAN CHASE & CO		07/27/2021	MORGAN STANLEY & CO. LLC		95,614	80,000	652	1.F FE
46647P-AV-8	JPMORGAN CHASE & CO		07/28/2021	REINSURANCE		1,155,823	1,000,000	584	1.F FE
468223-AW-2	JACKSON LABORATORY		07/28/2021	REINSURANCE		207,680	186,000	484	1.E FE
478045-AA-5	JOHN SEVIER COMBINED CYCLE GENERATION LL		07/28/2021	REINSURANCE		1,779,114	1,453,527	2,428	1.C FE
49271V-AF-7	KEURIG DR PEPPER INC		07/28/2021	REINSURANCE		1,008,420	850,000	6,838	2.B FE
49427R-AQ-5	KILROY REALTY LP		07/28/2021	REINSURANCE		752,298	745,000	3,777	2.B FE
49456B-AT-8	KINDER MORGAN INC		07/27/2021	MORGAN STANLEY & CO. LLC		83,893	80,000	1,344	2.B FE
501044-DF-5	KROGER CO		07/27/2021	MORGAN STANLEY & CO. LLC		90,571	80,000	896	2.A FE
512807-AW-8	LAM RESEARCH CORP		09/28/2021	Morgan Stanley		5,039,500	5,000,000	41,927	1.G FE
529043-AE-1	LEXINGTON REALTY TRUST		07/28/2021	REINSURANCE		391,084	375,000	3,741	2.B FE
539830-BQ-1	LOCKHEED MARTIN CORP		07/27/2021	MORGAN STANLEY & CO. LLC		86,807	84,000	287	1.G FE
548661-EE-3	LOWE'S COMPANIES INC		09/30/2021	TRADEWEB DIRECT LLC		2,929,530	3,000,000	3,267	2.A FE
552081-AK-7	LYONDELLBASELL INDUSTRIES NV		07/28/2021	REINSURANCE		1,121,611	1,000,000	16,451	2.B FE
55266C-XM-3	FIFTH THIRD BANK NA (OHIO)		07/28/2021	REINSURANCE		1,729,028	1,666,000	10,551	1.G FE
559080-AC-0	MAGELLAN MIDSTREAM PARTNERS LP		07/28/2021	REINSURANCE		1,332,393	1,000,000	15,467	2.A FE
571676-AQ-8	MARS INC		09/30/2021	JP Morgan Securities Inc.		4,195,125	4,500,000	23,887	1.F FE
579780-AN-7	MCCORMICK & COMPANY INC		07/28/2021	REINSURANCE		557,364	500,000	7,697	2.B FE
58506Y-AS-1	MEDSTAR HEALTH INC		07/28/2021	REINSURANCE		1,144,204	1,000,000	16,418	1.F FE
59156R-AP-3	METLIFE INC		07/28/2021	REINSURANCE		1,288,425	1,000,000	7,644	2.B FE
595620-AS-4	MIDAMERICAN ENERGY CO		07/27/2021	MORGAN STANLEY & CO. LLC		118,721	101,000	1,823	1.E FE
59833C-AC-6	MIDWEST CONNECTOR CAPITAL COMPANY LLC		07/28/2021	REINSURANCE		1,070,536	1,001,000	15,046	2.B FE
60856B-AC-8	MOLEX ELECTRONIC TECHNOLOGIES LLC		07/28/2021	REINSURANCE		1,032,467	1,000,000	11,158	2.B FE
617446-8G-7	MORGAN STANLEY		07/27/2021	MORGAN STANLEY & CO. LLC		2,167,262	1,841,000	1,360	1.G FE
623115-AC-6	MOUNT SINAI HOSPITAL (NEW YORK)		07/28/2021	REINSURANCE		561,050	500,000	1,437	1.G FE
628530-BD-8	MYLAN INC		07/28/2021	REINSURANCE		645,858	602,000	4,144	2.C FE
62954W-AC-9	NTT FINANCE CORP	C	09/20/2021	J.P. MORGAN SECURITIES INC.		22,178,646	22,170,000	142,404	1.F FE
636180-BP-5	NATIONAL FUEL GAS CO		07/28/2021	REINSURANCE		1,139,915	1,000,000	19,396	2.C FE
63859W-AE-9	NATIONWIDE BUILDING SOCIETY	C	07/28/2021	REINSURANCE		554,447	497,000	7,400	2.B FE

E04.3

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
654744-AD-3	NISSAN MOTOR CO LTD	C	07/28/2021	REINSURANCE		1,140,213	1,000,000	17,503	2.C FE
65557F-AH-9	NORDEA BANK ABP	C	07/28/2021	REINSURANCE		1,703,418	1,500,000	26,016	1.G FE
664675-AL-9	NORTHEASTERN UNIVERSITY		07/28/2021	REINSURANCE		775,107	700,000	13,180	1.E FE
667274-AB-0	NORTHWELL HEALTHCARE INC		07/28/2021	REINSURANCE		1,060,459	1,000,000	8,195	1.G FE
66989H-AN-8	NOVARTIS CAPITAL CORP		07/28/2021	REINSURANCE		1,104,273	1,000,000	6,114	1.D FE
67066G-AH-7	NVIDIA CORP		09/28/2021	Various		5,944,478	5,275,000	89,503	1.G FE
677415-CU-3	OHIO POWER CO		09/30/2021	Morgan Stanley		3,393,250	3,500,000	5,921	1.G FE
68233J-BP-8	ONCOR ELECTRIC DELIVERY COMPANY LLC		07/27/2021	MORGAN STANLEY & CO. LLC		118,212	97,000	594	1.F FE
682680-AU-7	ONEOK INC		07/28/2021	REINSURANCE		662,923	575,000	945	2.C FE
682680-AZ-6	ONEOK INC		07/27/2021	MORGAN STANLEY & CO. LLC		117,738	103,000	1,884	2.C FE
68327L-AC-0	ONTARIO TEACHERS' CADILLAC FAIRVIEW PROP	C	07/28/2021	REINSURANCE		573,728	500,000	10,141	1.E FE
68389X-BD-6	ORACLE CORP		07/28/2021	REINSURANCE		2,193,286	2,000,000	13,181	2.A FE
686514-AE-0	ORLANDO HEALTH INC		07/28/2021	REINSURANCE		566,583	500,000	6,138	1.F FE
69349L-AR-9	PNC BANK NA		07/28/2021	REINSURANCE		584,963	501,000	113	1.G FE
69363P-AC-4	PSNH 2018-1 A3 - ABS		07/28/2021	REINSURANCE		667,113	564,000	10,576	1.A FE
695156-AW-9	PACKAGING CORP OF AMERICA		09/30/2021	Jane Street		3,471,965	3,500,000	3,855	2.B FE
713448-EU-8	PEPSICO INC		09/28/2021	Wachovia Bank		4,980,518	4,300,000	4,763	1.E FE
717081-FA-6	PFIZER INC		07/27/2021	MORGAN STANLEY & CO. LLC		119,263	117,000	535	1.F FE
718546-AH-7	PHILLIPS 66		07/28/2021	REINSURANCE		1,401,284	999,000	14,184	2.A FE
718549-AE-8	PHILLIPS 66 PARTNERS LP		07/27/2021	MORGAN STANLEY & CO. LLC		106,259	89,000	1,429	2.C FE
73050Q-AA-6	POINSETTIA FINANCE LIMITED SARL		07/28/2021	REINSURANCE		487,298	500,000	6,717	3.B FE
74005P-BS-2	LINDE INC		07/27/2021	MORGAN STANLEY & CO. LLC		78,148	88,000	826	1.F FE
74052B-AA-5	PREMIER HEALTH PARTNERS		07/28/2021	REINSURANCE		567,013	539,000	3,182	2.A FE
743315-AW-3	PROGRESSIVE CORP		07/28/2021	REINSURANCE		312,688	280,000	3,036	1.F FE
74340X-BM-2	PROLOGIS LP		07/27/2021	MORGAN STANLEY & CO. LLC		1,629,807	1,565,000	10,173	1.G FE
74340X-BP-5	PROLOGIS LP		07/27/2021	MORGAN STANLEY & CO. LLC		119,431	113,000	979	1.G FE
74456Q-CA-2	PUBLIC SERVICE ELECTRIC AND GAS CO		07/27/2021	MORGAN STANLEY & CO. LLC		118,843	107,000	1,693	1.F FE
747525-BJ-1	QUALCOMM INC		07/28/2021	REINSURANCE		3,728,710	3,375,000	20,719	1.G FE
749607-AC-1	RLI CORP		07/28/2021	REINSURANCE		1,078,154	1,000,000	18,010	2.B FE
754730-AH-2	RAYMOND JAMES FINANCIAL INC		07/27/2021	MORGAN STANLEY & CO. LLC		90,116	80,000	983	2.A FE
773903-AM-1	ROCKWELL AUTOMATION INC		09/30/2021	Bank of New York Mellon		4,328,955	4,500,000	16,450	1.F FE
780097-BJ-9	NATWEST GROUP PLC	C	07/28/2021	REINSURANCE		1,070,996	1,000,000	4,142	2.A FE
78442G-LJ-3	SLMA 2004-3 B - ABS		07/28/2021	REINSURANCE		446,641	470,463	16	1.E FE
78444L-AE-3	SLCLT 2008-1 B - ABS		07/28/2021	REINSURANCE		1,988,347	2,000,000	4,465	1.C FE
78446A-AB-1	SLMA 2010-2 B - ABS		07/28/2021	REINSURANCE		906,245	1,000,000	55	1.C FE
784710-AA-3	SSM HEALTH CARE CORP		07/28/2021	REINSURANCE		1,135,618	1,000,000	6,053	1.E FE
79466L-AL-8	SALESFORCE.COM INC		09/28/2021	Wachovia Bank		5,035,400	5,000,000	31,417	1.F FE
824348-BK-1	SHERWIN-WILLIAMS CO		07/28/2021	REINSURANCE		866,536	740,000	12,732	2.B FE
828807-DJ-3	SIMON PROPERTY GROUP LP		07/27/2021	MORGAN STANLEY & CO. LLC		120,126	105,000	155	1.G FE
834423-AB-1	SOLVAY FINANCE AMERICA LLC		07/28/2021	REINSURANCE		1,129,758	1,000,000	6,799	2.B FE
84765E-AA-6	SPECTRUM HEALTH SYSTEM		07/28/2021	REINSURANCE		640,590	557,000	701	1.D FE
84858D-AA-6	SPIRIT AIRLINES CLASS A PASS THROUGH CER		07/28/2021	REINSURANCE		493,168	467,136	6,225	2.B FE
854502-AN-1	STANLEY BLACK & DECKER INC		07/27/2021	MORGAN STANLEY & CO. LLC		119,021	119,000	673	1.G FE
855244-BA-6	STARBUCKS CORP		07/21/2021	MLPFS INC FIXED INCOME		3,244,115	2,950,000	19,503	2.B FE
858119-BP-4	STEEL DYNAMICS INC		07/28/2021	Various		293,036	286,000	2,670	2.C FE
86765B-AK-5	ENERGY TRANSFER LP		07/28/2021	REINSURANCE		622,788	499,000	13,782	2.C FE
869099-AH-4	TRUIST FINANCIAL CORP		07/28/2021	REINSURANCE		1,050,312	1,000,000	24,337	1.G FE
871911-AS-2	SYSTEM ENERGY RESOURCES INC		07/28/2021	REINSURANCE		1,048,692	1,000,000	13,325	1.G FE
87425E-AE-3	REPSOL OIL & GAS CANADA INC	C	07/28/2021	REINSURANCE		1,179,676	1,000,000	20,743	2.B FE
882440-AN-0	TEXAS GAS TRANSMISSION LLC		07/28/2021	REINSURANCE		316,792	265,000	694	2.C FE
882508-BM-5	TEXAS INSTRUMENTS INC		09/28/2021	CREDIT SUISSE SECURITIES		4,954,550	5,000,000	5,625	1.E FE
883556-CM-2	THERMO FISHER SCIENTIFIC INC		09/30/2021	JP Morgan Securities Inc.		3,000,840	3,000,000	9,567	2.A FE
891160-IJ-9	TORONTO-DOMINION BANK	C	07/28/2021	REINSURANCE		2,236,848	2,000,000	26,785	1.F FE
89366L-AE-4	TRANSELEC SA	C	07/28/2021	REINSURANCE		1,085,760	1,000,000	1,722	2.B FE
89566E-AB-4	TRI-STATE GENERATION AND TRANSMISSION AS		07/28/2021	REINSURANCE		1,316,188	1,046,065	36,950	2.A FE

E04.4

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
89655Y-AA-4	TRL 2009-1 A - RMBS		07/28/2021	REINSURANCE		399,305	361,691	803	2.A FE
902494-BC-6	TYSON FOODS INC		07/28/2021	REINSURANCE		615,032	549,000	3,032	2.B FE
90346W-AA-1	AMERICAN AIRLINES 2013-1 PASS THROUGH TR		07/28/2021	REINSURANCE		300,802	297,206	2,381	3.A FE
90352J-AA-1	UBS GROUP AG	C	09/20/2021	J.P. MORGAN SECURITIES INC.		4,390,386	4,300,000	49,621	1.G FE
91324P-DT-6	UNITEDHEALTH GROUP INC		07/28/2021	REINSURANCE		257,913	226,000	3,581	1.G FE
921814-AA-7	VANDERBILT UNIVERSITY MEDICAL CENTER		07/28/2021	REINSURANCE		1,119,108	1,000,000	3,129	1.G FE
927847-AA-1	VIRGINIA MASON MEDICAL CENTER		07/28/2021	REINSURANCE		1,721,122	1,509,000	35,091	2.C FE
928668-BF-8	VOLKSWAGEN GROUP OF AMERICA FINANCE LLC		07/28/2021	REINSURANCE		812,483	715,000	5,586	2.A FE
92929Q-AQ-0	WASTE MANAGEMENT HOLDINGS INC		07/28/2021	REINSURANCE		1,272,996	1,000,000	34,908	2.A FE
95040Q-AC-8	WELLTOWER INC		07/28/2021	REINSURANCE		1,130,962	1,000,000	13,813	2.A FE
95040Q-AL-8	WELLTOWER INC		07/28/2021	REINSURANCE		258,146	245,000	243	2.A FE
960386-AM-2	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP		07/28/2021	REINSURANCE		1,176,193	1,001,000	18,306	2.C FE
960413-AS-1	WESTLAKE CHEMICAL CORP		09/01/2021	Various		2,287,598	1,775,000	24,576	2.B FE
960413-AZ-5	WESTLAKE CHEMICAL CORP		08/09/2021	Bank of America Merrill Lynch		14,378,250	15,000,000		2.B FE
961214-DF-7	WESTPAC BANKING CORP	C	07/28/2021	REINSURANCE		1,118,292	1,000,000	7,804	2.A FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>307,766,707</b>	<b>286,567,004</b>	<b>2,221,153</b>	<b>XXX</b>
00845W-AD-0	AGFIRST FARM CREDIT BANK		07/28/2021	REINSURANCE		1,380,000	2,000,000	2,983	1.A
064058-AB-6	BANK OF NEW YORK MELLON CORP		07/28/2021	REINSURANCE		160,348	155,000	736	2.A FE
902973-AZ-9	US BANCORP		07/28/2021	REINSURANCE		74,344	65,000	986	2.A FE
976657-AH-9	WEC ENERGY GROUP INC		07/28/2021	REINSURANCE		938,740	1,000,000	4,537	2.B FE
<b>4899999. Subtotal - Bonds - Hybrid Securities</b>						<b>2,553,431</b>	<b>3,220,000</b>	<b>9,242</b>	<b>XXX</b>
<b>8399997. Total - Bonds - Part 3</b>						<b>460,510,097</b>	<b>426,070,361</b>	<b>3,546,454</b>	<b>XXX</b>
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>460,510,097</b>	<b>426,070,361</b>	<b>3,546,454</b>	<b>XXX</b>
19075Q-60-7	COBANK ACB		07/28/2021	REINSURANCE	2,000,000	1,615,000	0.00		2.A FE
665859-AQ-7	NORTHERN TRUST CORP		07/28/2021	REINSURANCE	272,000,000	300,924	0.00		2.A FE
693475-AQ-8	PNC FINANCIAL SERVICES GROUP INC		07/28/2021	REINSURANCE	65,000,000	73,437	0.00		2.B FE
<b>8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred</b>						<b>1,989,361</b>	<b>XXX</b>		<b>XXX</b>
<b>8999997. Total - Preferred Stocks - Part 3</b>						<b>1,989,361</b>	<b>XXX</b>		<b>XXX</b>
<b>8999998. Total - Preferred Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8999999. Total - Preferred Stocks</b>						<b>1,989,361</b>	<b>XXX</b>		<b>XXX</b>
<b>9799997. Total - Common Stocks - Part 3</b>							<b>XXX</b>		<b>XXX</b>
<b>9799998. Total - Common Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>9799999. Total - Common Stocks</b>							<b>XXX</b>		<b>XXX</b>
<b>9899999. Total - Preferred and Common Stocks</b>						<b>1,989,361</b>	<b>XXX</b>		<b>XXX</b>
<b>9999999 - Totals</b>						<b>462,499,458</b>	<b>XXX</b>	<b>3,546,454</b>	<b>XXX</b>

E04.5

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
36203N-6W-3	GN 354685 - RMBS		09/01/2021	Paydown		.677	.677	.713	.688		(11)		(11)		.677				.31	.11/15/2023	1.A
36204G-Y3-0	GN 369830 - RMBS		09/01/2021	Paydown		.340	.340	.381			(40)		(40)		.340				.2	.12/15/2023	1.A
912810-SX-7	UNITED STATES TREASURY		09/28/2021	J.P. MORGAN SECURITIES INC.		74,440,625	70,000,000	74,443,359						74,443,359			(2,734)	(2,734)	618,920	.05/15/2051	1.A
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						74,441,642	70,001,017	74,444,453	688		(52)		(52)		74,444,376		(2,734)	(2,734)	618,953	XXX	XXX
13063A-5G-5	CALIFORNIA ST		08/10/2021	Citigroup (SSB)		13,035,890	7,615,000	11,220,169	10,684,933		(68,006)		(68,006)		10,616,927		2,418,963	2,418,963	495,081	.04/01/2039	1.C FE
<b>1799999. Subtotal - Bonds - U.S. States, Territories and Possessions</b>						13,035,890	7,615,000	11,220,169	10,684,933		(68,006)		(68,006)		10,616,927		2,418,963	2,418,963	495,081	XXX	XXX
797646-NG-7	SAN FRANCISCO CALIF CITY & CNTY		08/10/2021	BARCLAYS CAPITAL INC		1,320,070	1,000,000	1,000,340	1,000,134		26		26		1,000,160		319,910	319,910	39,530	.06/15/2029	1.A FE
<b>2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>						1,320,070	1,000,000	1,000,340	1,000,134		26		26		1,000,160		319,910	319,910	39,530	XXX	XXX
160075-VN-4	CHARLESTON CNTY S C SCH DIST		09/15/2021	Call @ 100.00		165,000	165,000	166,508			(39)		(39)		166,469		(1,469)	(1,469)	433	.09/15/2026	1.C FE
3136AW-WF-0	FNA 2017-M05 A2 - CMBS		07/29/2021	Various		547,771	488,711	552,721			(825)		(825)		551,895		(4,124)	(4,124)	2,269	.04/25/2029	1.A
3137BK-GK-0	FHMS K-1501 A3 - CMBS		07/29/2021	WELLS FARGO SECURITIES, LLC		1,755,137	1,513,457	1,761,810			(381)		(381)		1,761,429		(6,291)	(6,291)	4,723	.04/25/2030	1.A
3137BP-VN-6	FHMS K-1502 A2 - CMBS		07/29/2021	BARCLAY INVESTMENTS, INC.		1,108,705	983,058	1,119,233			(189)		(189)		1,119,044		(10,339)	(10,339)	2,691	.01/25/2031	1.A
3137BX-HC-9	FHMS K-1503 A3 - CMBS		07/29/2021	GS GA		2,091,275	1,840,000	2,112,865			(346)		(346)		2,112,519		(21,244)	(21,244)	5,098	.10/25/2031	1.A
3137FP-J9-7	FHMS K-1513 A3 - CMBS		07/29/2021	BARCLAY INVESTMENTS, INC.		2,149,639	1,946,200	2,177,055			(219)		(219)		2,176,836		(27,197)	(27,197)	4,839	.08/25/2034	1.A
3138L3-P5-8	FN AM3143 - CMBS/RMBS		07/29/2021	Various		1,189,243	1,061,623	1,187,959			(1,505)		(1,505)		1,186,455		2,789	2,789	3,255	.04/01/2028	1.A
31396P-GR-6	FNA 2006-M2 A3F - CMBS		07/29/2021	Various		367,951	367,948	399,151			(275)		(275)		398,876		(30,925)	(30,925)	1,748	.09/25/2031	1.A
452252-FH-7	ILLINOIS ST TOLL HIWY AUTH TOLL HIGHWAY R		08/10/2021	Citigroup (SSB)		1,403,720	1,000,000	1,000,000	1,000,000					1,000,000		403,720	403,720	68,711	.01/01/2034	1.D FE	
93976A-AH-5	WASHINGTON ST CONVENTION CTR PUB FACS DI		07/01/2021	Call @ 100.00		40,000	40,000	50,575	47,898		(207)		(207)		47,691		(7,691)	(7,691)	2,716	.07/01/2040	2.C FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						10,818,441	9,405,996	10,527,876	1,047,898		(3,987)		(3,987)		10,521,212		297,229	297,229	96,484	XXX	XXX
00206R-CN-0	AT&T INC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,083,600	1,000,000	1,091,749			(3,871)		(3,871)		1,087,878		(4,278)	(4,278)	11,994	.05/15/2025	2.B FE
00206R-HJ-4	AT&T INC		09/28/2021	BARCLAYS CAPITAL INC		172,152	150,000	174,920			(543)		(543)		174,378		(2,226)	(2,226)	3,788	.03/01/2029	2.B FE
00287Y-AQ-2	ABBVIE INC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,086,040	1,000,000	1,094,371			(3,975)		(3,975)		1,090,396		(4,356)	(4,356)	12,800	.05/14/2025	2.B FE
00817Y-AF-5	AETNA INC		09/28/2021	Morgan Stanley		66,189	46,000	67,777			(207)		(207)		67,570		(1,380)	(1,380)	889	.06/15/2036	2.B FE
008684-AA-0	AHMA 2006-6 A1A - CMO/RMBS		09/27/2021	Paydown		622,322	730,310	524,472	586,653		35,668		35,668		622,322		1,436	1,436	1,436	.12/25/2046	1.D FM
008685-AB-5	AHOLD FINANCE USA LLC		09/28/2021	DEUTSEC		810,343	613,000	836,898			(4,645)		(4,645)		832,253		(21,910)	(21,910)	17,443	.05/01/2029	2.B FE
02660X-AD-6	AHMA 2006-2 2A1 - RMBS		09/27/2021	Paydown		50,547	42,573	32,250	34,923		15,624		15,624		50,547		136	136	136	.09/25/2046	1.D
037411-AK-1	APACHE CORP		08/05/2021	GS GA		511,125	435,000	513,300			(490)		(490)		512,810		(1,685)	(1,685)	10,951	.04/15/2026	3.A FE
05490T-AA-0	BBCOR 2015-GTP A - CMBS		07/29/2021	SOCIETE GENERALE		1,092,656	1,000,000	1,095,141			(283)		(283)		1,094,858		(2,201)	(2,201)	2,864	.08/12/2033	1.D FM
05526Q-AA-4	BAMLL 2015-200P A - CMBS		07/29/2021	CITIGROUP GLOBAL MARKETS, INC		1,071,367	1,000,000	1,073,330			(225)		(225)		1,073,105		(1,738)	(1,738)	2,771	.04/15/2033	1.D FM
056083-AA-6	BXP 2017-GM A - CMBS		07/29/2021	MARKETS, INC		267,699	243,000	268,696			(47)		(47)		268,649		(950)	(950)	707	.06/15/2039	1.D FM
06051G-FP-9	BANK OF AMERICA CORP		09/20/2021	J.P. MORGAN SECURITIES INC.		1,095,060	1,000,000	1,098,905			(3,953)		(3,953)		1,094,952		108	108	16,568	.04/21/2025	2.A FE
064159-HB-5	BANK OF NOVA SCOTIA	C	09/20/2021	J.P. MORGAN SECURITIES INC.		1,130,620	1,000,000	1,139,615			(4,739)		(4,739)		1,134,876		(4,256)	(4,256)	12,000	.12/16/2025	2.A FE
06652K-AA-1	BANKUNITED INC		09/20/2021	J.P. MORGAN SECURITIES INC.		568,115	500,000	572,869			(2,665)		(2,665)		570,204		(2,089)	(2,089)	8,464	.11/17/2025	2.B FE
067316-AF-6	BACARDI LTD	C	09/28/2021	SG AMERICAS SECURITIES, LLC		2,313,280	2,000,000	2,351,142			(8,756)		(8,756)		2,342,386		(29,106)	(29,106)	35,250	.05/15/2028	2.C FE
07177M-AB-9	BAXALTA INC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,099,710	1,000,000	1,108,263			(4,453)		(4,453)		1,103,810		(4,100)	(4,100)	9,889	.06/23/2025	2.B FE
07387U-FW-6	BSABS 2006-1M1 A3 - RMBS		09/27/2021	Paydown		426,047	408,377	413,480	414,616		11,431		11,431		426,047				1,790	.04/25/2036	2.B
07401M-AG-6	BSMF 2007-AR1 2A3 - RMBS		09/27/2021	Paydown		159,551	157,983	145,597	154,900		4,651		4,651		159,551					.02/25/2037	1.D FM
07401N-AA-7	BSMF 2006-ARS 1A1 - RMBS		09/27/2021	Paydown		212,632	212,632	185,662	185,765		26,867		26,867		212,632				.381	.12/26/2036	1.D FM

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
07401T-AA-4	BSMF 2007-AR2 A1 - RMBS		09/27/2021	Paydown		143,433	143,433	100,846	95,050		48,382		48,382		143,433				265	03/25/2037	1.D FM
07401Y-AA-3	BSMF 2007-AR4 1A1 - RMBS		09/27/2021	Paydown		122,523	122,523	103,089	103,674		18,849		18,849		122,523				242	04/27/2037	1.D FM
097023-CD-5	BOEING CO		09/28/2021	Inc.		525,344	506,000	534,436		(605)		(605)		533,831		(8,487)	(8,487)	9,400	03/01/2029	2.C FE	
10010Y-AA-0	TURK HAVA YOLLARI AO - ABS		08/05/2021	GS GA		364,723	404,708	381,833		(35)		(35)		600,017		(235,293)	(235,293)	6,799	09/15/2028	4.B FE	
10112R-AU-8	BOSTON PROPERTIES LP		09/20/2021	J.P. MORGAN SECURITIES INC.		1,035,570	1,000,000	1,040,979			(4,859)		(4,859)		1,036,120		(550)	(550)	24,704	02/01/2023	2.A FE
10112R-BD-5	BOSTON PROPERTIES LP		09/28/2021	J.P. MORGAN SECURITIES INC.		1,055,138	995,000	1,082,237			(1,489)		(1,489)		1,080,748		(25,610)	(25,610)	21,558	01/30/2031	2.A FE
10510K-AC-1	BRAMBLES USA INC		09/20/2021	INC.		1,106,760	1,000,000	1,117,929			(4,412)		(4,412)		1,113,517		(6,757)	(6,757)	17,073	10/23/2025	2.A FE
105340-AQ-6	BRANDYWINE OPERATING PARTNERSHIP LP		09/28/2021	Jefferies		770,144	709,000	777,963			(1,845)		(1,845)		776,118		(5,974)	(5,974)	10,502	11/15/2027	2.C FE
11042A-AA-2	BRITISH AIRWAYS PASS THROUGH TRUST 2013-	C	09/20/2021	Paydown		8,746	8,746	9,222			(468)		(468)		8,746				101	12/20/2025	1.F FE
11043X-AA-1	IAGLN 2019-1 AA - ABS		09/15/2021	Paydown		2,513	2,513	2,597			(83)		(83)		2,513				21	06/15/2034	1.F FE
12566Q-AG-8	CWALT 2007-A1 1A7 - CMO/RMBS		09/01/2021	Paydown		39,953	44,454	38,249	40,940		(987)		(987)		39,953				1,762	01/25/2037	3.B FM
12566X-AK-4	CWALT 2007-A7 2A1 - CMO/RMBS		09/25/2021	Paydown		9,866	10,972	8,916	9,778		89		89		9,866				37	07/25/2037	1.D FM
126650-BV-1	CVSPAS 2010 CTF - CMBS		09/10/2021	Paydown		4,652	4,652	5,550			(890)		(890)		4,652				22	01/10/2033	2.B
126650-BY-5	CVSPAS 2011 CTF - CMBS		09/28/2021	Various		308,688	256,928	314,205			(1,800)		(1,800)		312,405		(3,717)	(3,717)	3,370	01/10/2034	2.B FE
12667G-LB-4	CWALT 2005-27 1A2 - CMO/RMBS		09/01/2021	Paydown		58,190	58,190	29,793	33,621					24,569		629		629	08/25/2035	1.D FM	
12667G-MF-7	CWALT 2005-16 A4 - CMO/RMBS		09/27/2021	Paydown		19,559	19,559	9,749	11,803				7,756		19,559				82	06/25/2035	1.D FM
12667G-NS-8	CWALT 2005-24 1A1 - CMO/RMBS		09/01/2021	Paydown		10,154	12,125	8,441	8,244				1,910		10,154				129	07/20/2035	1.D FM
12667G-Y9-8	CWALT 2005-38 A1 - CMO/RMBS		09/01/2021	Paydown		24,041	24,041	19,443	19,647				4,394		24,041				292	09/25/2035	1.D FM
12667G-Z3-0	CWALT 2005-38 A3 - CMO/RMBS		09/27/2021	Paydown		41,672	41,672	32,331	33,072				8,600		41,672				231	09/25/2035	1.D FM
12668A-EV-3	CWALT 2005-59 1A1 - CMO/RMBS		09/21/2021	Paydown		275,283	275,283	202,066	211,724				63,559		275,283				1,420	11/20/2035	1.D FM
12668A-GN-9	CWALT 2005-56 1A1 - CMO/RMBS		09/27/2021	Paydown		78,722	78,722	38,144	40,857				37,866		78,722				769	11/25/2035	1.D FM
12668A-TN-5	CWALT 2005-62 1A1 - CMO/RMBS		09/27/2021	Paydown		196,981	196,981	148,200	145,360				51,621		196,981				945	12/25/2035	1.D FM
126694-D7-0	CWHL 2006-HYB2 4A1 - CMO/RMBS		09/01/2021	Paydown		11,268	7,741	6,389	10,415				854		11,268				14	04/22/2036	1.D FM
14162V-AB-2	SABRA HEALTH CARE LP		09/20/2021	J.P. MORGAN SECURITIES INC.		1,125,960	1,000,000	1,137,177			(4,098)		(4,098)		1,133,079		(7,119)	(7,119)	30,892	08/15/2026	2.C FE
161175-AY-0	CHARTER COMMUNICATIONS OPERATING LLC		09/20/2021	INC.		1,130,680	1,000,000	1,134,925			(5,392)		(5,392)		1,129,533		1,147	1,147	8,044	07/23/2025	2.C FE
17312V-AD-0	CMULTI 2007-6 12A - CMO/RMBS		09/01/2021	Paydown		204,723	226,049	90,412	81,715				123,008		204,723				4,526	03/25/2037	1.D FM
17312V-AE-8	CMULTI 2007-6 13A - CMO/RMBS		09/01/2021	Paydown		76,438	77,567	26,837	35,204				41,234		76,438				1,465	03/25/2037	1.D FM
22822V-AU-5	CROWN CASTLE INTERNATIONAL CORP		09/28/2021	BARCLAYS CAPITAL INC.		1,140,501	1,150,000	1,179,621			(104)		(104)		1,179,517		(39,016)	(39,016)	7,786	01/15/2051	2.C FE
23242G-AE-4	CWALT 2006-0A16 A2 - RMBS		09/27/2021	Paydown		3,256	3,256	2,129	2,331				926		3,256				9	10/25/2046	1.D FM
23245Q-AA-7	CWALT 2006-0A21 A1 - CMO/RMBS		09/20/2021	Paydown		100,313	100,313	62,800	66,698				33,615		100,313				204	03/20/2047	1.D FM
23332U-GM-0	DSL A 2006-AR1 21A - RMBS		09/01/2021	Paydown		516,771	516,771	442,134	450,097				66,674		516,771				4,035	04/19/2046	1.D FM
24703T-AG-1	DELL INTERNATIONAL LLC		09/28/2021	J.P. MORGAN SECURITIES INC.		476,099	396,000	482,964			(1,735)		(1,735)		481,229		(5,130)	(5,130)	10,436	10/01/2029	2.C FE
25156P-BA-0	DEUTSCHE TELEKOM INTERNATIONAL FINANCE B	C	09/20/2021	INC.		1,104,980	1,000,000	1,109,428			(3,081)		(3,081)		1,106,347		(1,367)	(1,367)	6,300	01/19/2027	2.B FE
25179S-AD-2	DEVON FINANCING COMPANY LLC		09/28/2021	Hilltop Securities		1,412,540	1,000,000	1,415,650			(6,006)		(6,006)		1,409,644		2,896	2,896	39,375	09/30/2031	2.C FE
25746U-CY-3	DOMINION ENERGY INC		09/28/2021	MURIEL SIEBERT		1,134,980	1,000,000	1,163,796			(4,104)		(4,104)		1,159,692		(24,712)	(24,712)	14,049	06/01/2028	2.B FE
26078J-AD-2	DUPONT DE NEMOURS INC		09/28/2021	BNWM/HSBC US		528,624	450,000	539,666			(2,078)		(2,078)		537,588		(8,964)	(8,964)	7,973	11/15/2028	2.A FE
26442U-AM-6	DUKE ENERGY PROGRESS LLC		09/29/2021	Morgan Stanley		17,799,902	18,100,000	18,067,420			132		132		18,067,552		(267,650)	(267,650)	69,987	08/15/2051	1.F FE
26885B-AC-4	EOM MIDSTREAM PARTNERS LP		08/05/2021	GS GA		540,930	502,000	545,252			(184)		(184)		545,068		(4,138)	(4,138)	1,841	07/15/2048	3.C FE
29336U-AD-9	ENLINK MIDSTREAM PARTNERS LP		08/05/2021	GS GA		905,000	1,000,000	931,520			60		60		931,580		(26,580)	(26,580)	17,956	04/01/2045	3.A FE
29717P-AN-7	ESSEX PORTFOLIO LP		09/20/2021	J.P. MORGAN SECURITIES INC.		1,071,800	1,000,000	1,076,749			(4,576)		(4,576)		1,072,173		(373)	(373)	15,177	05/01/2024	2.A FE
30315D-AS-7	PREMIF 2019-K103 B - CMBS		07/29/2021	WELLS FARGO SECURITIES, LLC		1,364,215	1,254,000	1,367,283			(143)		(143)		1,367,139		(2,925)	(2,925)	3,853	12/26/2051	1.D FM
32029G-AC-8	FFML 2007-FF2 A2B - RMBS		09/27/2021	Paydown		464,810	464,810	264,693	250,407				214,403		464,810				664	03/25/2037	1.D FM

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
33766Q-AC-1	FECH 2013 A3 - ABS		07/28/2021	J.P. MORGAN SECURITIES INC.		944,531	844,038	932,605			(34)		(34)		932,571		11,960	11,960	1,213	01/15/2036	1.A FE
337915-AA-0	HUNTINGTON BANCSHARES INC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,582,399	1,506,000	1,588,329			(8,102)		(8,102)		1,580,227		2,173	2,173	41,490	02/04/2023	2.A FE
33938E-AU-1	FLEX LTD	C	08/04/2021	CITIGROUP GLOBAL MARKETS, INC		1,121,680	1,000,000	1,120,858			(796)		(796)		1,120,062		1,618	1,618	6,729	06/15/2025	2.C FE
35137L-AH-8	FOX CORP		09/28/2021	PERSHING LLC		1,164,650	1,000,000	1,185,424			(4,173)		(4,173)		1,181,251		(16,601)	(16,601)	8,502	01/25/2029	2.B FE
35671D-AZ-8	FREEMPT-MCMORAN INC		07/29/2021	MORGAN STANLEY & CO. LLC		1,243,680	1,200,000	1,245,312			(433)		(433)		1,244,879		(1,199)	(1,199)	17,696	03/15/2023	3.A FE
36156H-AH-1	GCDE 2003-2 B2R - ABS		08/03/2021	J.P. MORGAN SECURITIES INC.		925,000	1,000,000	929,342			81		81		929,423		(4,423)	(4,423)		09/01/2040	1.C FE
36228C-LV-3	GSMS 2005-ROCK A - CMBS		07/29/2021	Lynch		579,052	507,662	580,960			(209)		(209)		580,751		(1,699)	(1,699)	2,346	05/03/2032	1.D FM
3622E8-AE-5	GSAA 2006-15 AF5 - RMBS		09/01/2021	Paydown		83,267	83,267	36,794	30,715		52,552		52,552		83,267				941	09/25/2036	1.D FM
3622E8-AF-2	GSAA 2006-15 AF6 - RMBS		09/01/2021	Paydown		107,000	107,000	47,011	39,261		67,739		67,739		107,000				1,209	09/25/2036	1.D
3622EQ-AC-9	GSAA 2007-1 2A1 - RMBS		09/27/2021	Paydown		426,173	426,173	940,399	318,241		107,931		107,931		426,173				561	02/25/2037	1.D FM
37045X-CY-0	GENERAL MOTORS FINANCIAL COMPANY INC		09/28/2021	BARCLAYS CAPITAL INC.		1,499,148	1,400,000	1,534,316			(2,445)		(2,445)		1,531,871		(32,723)	(32,723)	13,860	06/21/2030	2.C FE
375558-BF-9	GILEAD SCIENCES INC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,100,170	1,000,000	1,107,872			(3,629)		(3,629)		1,104,243		(4,073)	(4,073)	20,379	03/01/2026	2.A FE
39538W-EA-2	GPWF 2005-AR5 1A1 - CMO/RMBS		09/27/2021	Paydown		3,281	3,281	2,820	2,869		412		412		3,281				13	11/25/2045	1.D FM
39538W-HF-8	GPWF 2006-AR3 4A1 - RMBS		09/27/2021	Paydown		198,501	198,501	146,422	151,516		46,985		46,985		198,501				712	04/25/2036	1.D
41161P-L4-3	HVMLT 2006-4 21A - CMO/RMBS		09/20/2021	Paydown		40,042	40,042	17,954	17,816		22,225		22,225		40,042				125	05/19/2046	1.D FM
41161P-WC-3	HVMLT 2005-13 2A2 - CMO/RMBS		09/20/2021	Paydown		9,674	9,674	7,782	7,179		2,495		2,495		9,674				116	02/19/2036	1.D
41161Q-AB-7	HVMLT 2006-3 2A - CMO/RMBS		09/01/2021	Paydown		165,258	184,114	92,874	85,937		79,321		79,321		165,258				3,998	06/19/2036	1.D FM
41162C-AC-5	HVMLT 2006-10 21A - RMBS		09/20/2021	Paydown		432,822	432,822	359,548	375,154		57,667		57,667		432,822				848	11/19/2036	1.D
411640-AA-3	HVMLT 2007-7 1AA - RMBS		09/27/2021	Paydown		797,139	797,139	711,106	716,277		80,862		80,862		797,139				5,664	10/25/2037	1.D FM
419838-AA-5	HAWAIIAN AIRLINES 2013-1 PASS THROUGH TR		08/05/2021	GS GA		352,284	357,674	356,268			11		11		356,279		(3,996)	(3,996)	930	07/15/2027	4.B FE
42250P-AB-9	HEALTHPEAK PROPERTIES INC		09/28/2021	JP Morgan Securities Inc.		213,516	205,000	218,957			(240)		(240)		218,717		(5,201)	(5,201)	1,228	01/15/2031	2.A FE
436106-AA-6	HOLLYFRONTIER CORP		08/04/2021	CITIGROUP GLOBAL MARKETS, INC		2,317,120	2,000,000	2,320,866			(1,672)		(1,672)		2,319,194		(2,074)	(2,074)	40,799	04/01/2026	2.C FE
44217N-AC-0	HGMT 2015-HGLR 1A2 - CMBS		07/29/2021	BREAN CAPITAL, LLC		1,041,875	1,000,000	1,045,836			(136)		(136)		1,045,699		(3,824)	(3,824)	2,658	03/05/2037	1.D FM
443510-AG-7	HUBBELL INC		09/20/2021	INC.		1,082,100	1,000,000	1,088,478			(2,966)		(2,966)		1,085,512		(3,412)	(3,412)	18,704	03/01/2026	2.A FE
456612-AA-8	INDX 2006-AR6 A1A - RMBS		08/01/2021	Paydown		251,028	251,028	230,161	241,715		9,313		9,313		251,028				1,897	06/25/2046	2.B
456612-AA-8	INDX 2006-AR6 A1A - RMBS		09/01/2021	Paydown		141,582	141,582	129,813	136,329		5,252		5,252		141,582				1,253	06/25/2046	3.B
45661E-AA-2	INDX 2006-AR2 A1A - RMBS		09/27/2021	Paydown		265,479	265,479	212,417	218,276		47,204		47,204		265,479				968	04/25/2046	1.D
45661E-AV-6	INDX 2006-AR4 A1A - RMBS		09/27/2021	Paydown		103,708	103,708	86,170	90,017		13,691		13,691		103,708				353	05/25/2046	1.D FM
45661E-DE-1	INDX 2006-AR7 5A1 - CMO/RMBS		08/01/2021	Paydown		93,670	86,626	84,898	90,843		2,828		2,828		93,670					05/25/2036	1.D FM
45661E-DE-1	INDX 2006-AR7 5A1 - CMO/RMBS		09/01/2021	Paydown		105,426	102,102	100,066	101,100		4,326		4,326		105,426					05/25/2036	2.B FM
45661K-AA-8	INDX 2006-AR11 1A1 - CMO/RMBS		08/01/2021	Paydown		13	13	12	13						13					06/25/2036	3.B FM
45661K-AA-8	INDX 2006-AR11 1A1 - CMO/RMBS		09/01/2021	Paydown		119,813	93,864	87,111	119,123		2,907	2,217	690		119,813					06/25/2036	2.B FM
460146-CH-4	INTERNATIONAL PAPER CO		09/28/2021	Loop Capital Markets		1,401,700	1,000,000	1,453,985			(2,915)		(2,915)		1,451,070		(49,370)	(49,370)	22,500	11/15/2041	2.B FE
46625H-RV-4	JPMORGAN CHASE & CO		08/06/2021	FIFTH THIRD BANK		2,152,760	2,000,000	1,895,800	1,934,429		6,385		6,385		1,940,814		211,946	211,946	50,642	10/01/2026	1.F FE
466302-AG-1	JPALT 2006-S4 A4 - RMBS		09/01/2021	Paydown		475,214	532,496	313,829	397,091		78,123		78,123		475,214				20,062	12/25/2036	1.D FM
466306-AA-5	JPALT 2008-R2 - CMO/RMBS		08/25/2021	Paydown		173,456	159,434	100,247	92,474		80,982		80,982		173,456				8,041	06/25/2038	1.D
49271V-AF-7	KEURIG DR PEPPER INC		09/28/2021	INC.		985,422	850,000	1,008,420			(3,977)		(3,977)		1,004,444		(19,022)	(19,022)	13,568	05/25/2028	2.B FE
49427R-AQ-5	KILROY REALTY LP		09/28/2021	Morgan Stanley		731,806	745,000	752,298			(91)		(91)		752,207		(20,401)	(20,401)	6,984	11/15/2032	2.B FE
52522D-AL-5	LXS 2006-16N A31 - RMBS		09/27/2021	Paydown		464,539	464,454	399,398	425,058		39,481		39,481		464,539				1,001	11/25/2046	1.D
52522D-AM-3	LXS 2006-16N A4A - RMBS		09/27/2021	Paydown		13,521	13,521	10,767	11,374		2,147		2,147		13,521				27	11/25/2046	1.D
52524G-AA-0	LXS 2007-7N A1A - RMBS		08/25/2021	Paydown		63,659	63,604	51,313	56,264		7,394		7,394		63,659				129	06/25/2047	3.B

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
52524G-AA-0	LXS 2007-7N A1A - RMBS		09/27/2021	Paydown		66,169	66,169	53,382	58,533		7,635		7,635		66,169				164	06/25/2047	1.D
529043-AE-1	LEXINGTON REALTY TRUST		09/28/2021	MarketAxess		378,788	375,000	391,084		(279)			(279)		390,805		(12,017)	(12,017)	5,484	09/15/2030	2.B FE
550279-BA-0	LUM 2006-2 A1A - CMO/RMBS		09/27/2021	Paydown		74,870	74,504		52,285		22,585		22,585		74,870				246	02/25/2046	1.D
552081-AK-7	LYONDELLBASELL INDUSTRIES NV		09/20/2021	J.P. MORGAN SECURITIES INC.		1,112,780	1,000,000	1,121,611			(7,453)		(7,453)		1,114,158		(1,378)	(1,378)	25,076	04/15/2024	2.B FE
55275T-AE-8	MABS 2007-WMC1 A5 - RMBS		09/27/2021	Paydown		219,448	219,448	21,473	22,369		197,079		197,079		219,448				2,193	01/25/2037	1.D FM
579780-AN-7	MCCORMICK & COMPANY INC		09/28/2021	Jane Street		548,540	500,000	557,364			(1,643)		(1,643)		555,721		(7,181)	(7,181)	10,625	08/15/2027	2.B FE
59025E-AT-3	MANA 2007-QAR4 A2 - RMBS		09/27/2021	Paydown		39,841	35,145	16,495	20,368		19,474		19,474		39,841				136	08/25/2037	1.D
59156R-AP-3	METLIFE INC		09/28/2021	UBS WARBURG LLC		1,280,370	1,000,000	1,288,425			(4,113)		(4,113)		1,284,312		(3,942)	(3,942)	18,867	12/15/2066	2.B FE
60856B-AC-8	MOLEX ELECTRONIC TECHNOLOGIES LLC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,055,900	1,000,000	1,032,467			(1,337)		(1,337)		1,031,130		24,770	24,770	17,008	04/15/2025	2.B FE
61751D-AG-9	MSM 2006-17XS A4 - RMBS		09/01/2021	Paydown		44,628	44,628	19,159	16,740		27,888		27,888		44,628				412	10/25/2046	1.D
61752J-AA-8	MSM 2007-1XS 1A1 - RMBS		09/01/2021	Paydown		180,216	180,216	92,814	89,398		90,819		90,819		180,216				2,655	09/25/2046	1.D FM
61756V-AC-3	MSM 2007-14AR 1A3 - CMO/RMBS		09/01/2021	Paydown		228	228	55	41		187		187		228				6	10/25/2037	1.D FM
628530-BD-8	MYLAN INC		09/20/2021	J.P. MORGAN SECURITIES INC.		641,654	602,000	645,858			(3,178)		(3,178)		642,680		(1,026)	(1,026)	7,936	11/29/2023	2.C FE
636180-BP-5	NATIONAL FUEL GAS CO		09/28/2021	CLARK		1,117,960	1,000,000	1,139,915			(3,213)		(3,213)		1,136,702		(18,742)	(18,742)	27,576	09/01/2028	2.C FE
63859W-AE-9	NATIONWIDE BUILDING SOCIETY	C	09/20/2021	J.P. MORGAN SECURITIES INC.		550,512	497,000	554,447			(1,611)		(1,611)		552,836		(2,324)	(2,324)	10,382	09/14/2026	2.B FE
64829J-AN-3	NRZT 2017-1 B1 - CMO/RMBS		09/01/2021	Paydown		53,604	53,604	55,439	54,836		(1,232)		(1,232)		53,604				1,609	02/26/2057	1.D FM
654744-AD-3	NISSAN MOTOR CO LTD	C	09/28/2021	BARCLAYS CAPITAL INC.		1,124,200	1,000,000	1,140,213			(2,355)		(2,355)		1,137,858		(13,658)	(13,658)	25,787	09/17/2030	2.C FE
65535V-MY-1	NAA 2005-AR4 A41 - RMBS		09/01/2021	Paydown		50,526	50,526	31,118	30,872		19,655		19,655		50,526				38	08/25/2035	1.D FM
65538D-AA-3	NAA 2006-AR4 A1A - RMBS		09/27/2021	Paydown		135,844	134,747	89,051	88,654		47,190		47,190		135,844				394	12/25/2036	1.D
65538D-AE-5	NAA 2006-AR4 A4A - RMBS		09/27/2021	Paydown		156,915	156,915	104,203	103,986		52,929		52,929		156,915				596	12/25/2036	1.D FM
682680-AU-7	ONEOK INC		09/28/2021	MarketAxess		650,095	575,000	662,923			(2,131)		(2,131)		660,792		(10,697)	(10,697)	5,451	07/15/2028	2.C FE
68389X-BD-6	ORACLE CORP		09/28/2021	TD Securities		2,139,420	2,000,000	2,193,286			(3,585)		(3,585)		2,189,701		(50,281)	(50,281)	24,375	05/15/2030	2.A FE
69363P-AC-4	PSNH 2018-1 A3 - ABS		07/28/2021	J.P. MORGAN SECURITIES INC.		657,677	564,000	667,113			(48)		(48)		667,065		(9,388)	(9,388)	10,696	02/01/2035	1.A FE
718546-AH-7	PHILLIPS 66		09/28/2021	Jane Street		1,373,056	999,000	1,401,284			(2,333)		(2,333)		1,398,951		(25,896)	(25,896)	24,292	05/01/2042	2.A FE
73050Q-AA-6	POINSETTIA FINANCE LIMITED SARL		08/05/2021	BCP BROKERS		485,625	500,000	487,298			35		35		487,333				7,729	06/17/2031	3.C FE
74922H-AA-0	RALI 2007-QH1 A1 - RMBS		09/27/2021	Paydown		101,692	101,692	81,986	86,079		15,613		15,613		101,692				272	02/25/2037	1.D FM
74922T-AA-4	RALI 2007-QH4 A1 - RMBS		09/27/2021	Paydown		113,022	113,022	89,094	93,026		19,996		19,996		113,022				217	05/26/2037	1.D
75115F-AD-2	RALI 2006-Q08 A3A - RMBS		09/27/2021	Paydown		1,643,521	1,576,495	1,122,073	1,299,038		344,483		344,483		1,643,521				4,882	10/25/2046	1.D FM
75116C-AA-4	RALI 2007-QS6 A1 - CMO/RMBS		09/25/2021	Paydown		71,512	76,185	47,224	48,221		23,290		23,290		71,512				223	04/25/2037	1.D FM
76111X-P6-4	RFMSI 2006-S3 A8 - CMO/RMBS		09/01/2021	Paydown		57,366	56,780	51,430	51,657		5,710		5,710		57,366				1,959	03/25/2036	3.B FM
780097-BJ-9	NATWEST GROUP PLC	C	09/20/2021	J.P. MORGAN SECURITIES INC.		1,066,090	1,000,000	1,070,996			(5,649)		(5,649)		1,065,347		743	743	10,921	06/25/2024	2.A FE
78442G-LJ-3	SLMA 2004-3 B - ABS		08/03/2021	J.P. MORGAN SECURITIES INC.		446,922	470,463	446,641							446,641		281	281	78	10/27/2064	1.E FE
78443C-OM-4	SLMA 2006-A B - ABS		09/15/2021	Paydown		489,287	489,287	452,285	471,360		17,928		17,928		489,287				1,761	06/15/2039	1.B FE
78443D-AD-4	SLMA 2007-A A4 - ABS		09/15/2021	Paydown		214,115	214,115	189,149	200,206		13,909		13,909		214,115				673	12/16/2041	1.B FE
78444L-AE-3	SLCLT 2008-1 B - ABS		07/29/2021	Bank of America Merrill Lynch		2,048,438	2,000,000	1,988,347			271		271		1,988,618		59,819	59,819	4,984	03/15/2038	1.C FE
78446A-AB-1	SLMA 2010-2 B - ABS		08/03/2021	J.P. MORGAN SECURITIES INC.		910,000	1,000,000	906,245			146		146		906,391		3,609	3,609	275	12/28/2043	1.C FE
824348-BK-1	SHERWIN-WILLIAMS CO		09/28/2021	Jane Street		829,525	740,000	866,536			(513)		(513)		866,024		(36,498)	(36,498)	17,575	08/15/2049	2.B FE
834423-AB-1	SOLVAY FINANCE AMERICA LLC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,116,650	1,000,000	1,129,758			(4,709)		(4,709)		1,125,049		(8,399)	(8,399)	13,474	12/03/2025	2.B FE
84858D-AA-6	SPIRIT AIRLINES CLASS A PASS THROUGH CER		09/28/2021	Cantor Fitzgerald & Co.		482,412	467,136	493,168			675		675		493,843		(11,432)	(11,432)	9,523	10/01/2029	2.B FE
85554N-AJ-9	STARF 2007-3 2A1 - CMO/RMBS		09/01/2021	Paydown		59,485	55,307	52,904	58,454		1,031		1,031		59,485					06/25/2037	2.B FM
85554N-AJ-9	STARF 2007-3 2A1 - CMO/RMBS		09/01/2021	Paydown		47,355	45,042	43,085	46,422		933		933		47,355					06/25/2037	3.B FM

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
858119-BP-4	STEEL DYNAMICS INC		09/28/2021	MarketAxess		166,547	170,000	174,149			(11)		(11)		174,138		(7,590)	(7,590)	2,532	10/15/2050	2.C FE
86358R-RA-1	SASC 2002-14A 1A1 - CMO/RMBS		09/01/2021	Paydown		2,477	2,477	1,115			2,477		2,477		2,477				72	07/25/2032	1.D FM
86361B-AJ-6	SARM 2006-7 4A1 - CMO/RMBS		08/01/2021	Paydown		3	3	1	1		(1)		(1)								1.D FM
86364D-AA-8	SARM 2007-7 1A1 - CMO/RMBS		09/27/2021	Paydown		135,721	135,721	106,358	107,372		28,349		28,349		135,721				372	08/25/2037	1.D FM
871911-AS-2	SYSTEM ENERGY RESOURCES INC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,046,580	1,000,000	1,048,692			(5,160)		(5,160)		1,043,532		3,048	3,048	19,475	04/01/2023	1.6 FE
885220-BR-3	TMST 2002-3 A3 - CMO/RMBS		09/01/2021	Paydown		4,139	4,139	3,818	3,916		223		223		4,139				55	09/25/2042	1.D FM
885220-EC-3	TMST 2003-5 2A - CMO/RMBS		09/01/2021	Paydown		10,989	10,989	8,162	7,149		3,840		3,840		10,989				220	10/25/2043	1.D FM
885220-GZ-0	TMST 2005-1 A1 - CMO/RMBS		09/01/2021	Paydown		7,777	7,777	2,485			7,777		7,777		7,777				130	04/25/2045	1.D FM
88522U-AC-1	TMST 2008-1 2A1 - CMO/RMBS		09/01/2021	Paydown		225,909	205,372	159,809	219,814		6,095		6,095		225,909						1.D FM
89366L-AE-4	TRANSELEC SA	C	08/04/2021	MORGAN STANLEY & CO. LLC		1,085,990	1,000,000	1,085,760			(265)		(265)		1,085,495		495	495	2,583	01/12/2029	2.B FE
89655Y-AA-4	TRL 2009-1 A - RMBS		07/28/2021	CANTOR FITZGERALD & CO.		396,051	361,691	399,305			(14)		(14)		399,290		(3,239)	(3,239)	936	11/16/2039	2.A FE
902494-BC-6	TYSON FOODS INC		09/20/2021	J.P. MORGAN SECURITIES INC.		611,246	549,000	615,032			(1,733)		(1,733)		613,299		(2,053)	(2,053)	5,955	06/02/2027	2.B FE
92343V-DD-3	VERIZON COMMUNICATIONS INC		08/06/2021	SUSQUEHANNA FINANCIAL GROUP LLP		1,593,840	1,500,000	1,366,185	1,416,320		8,271		8,271		1,424,591		169,249	169,249	38,828	08/15/2026	2.A FE
929668-BF-8	VOLKSWAGEN GROUP OF AMERICA FINANCE LLC		09/28/2021	Jane Street		789,389	715,000	812,483			(1,752)		(1,752)		810,731		(21,343)	(21,343)	10,204	05/13/2030	2.A FE
92927B-AA-0	WAMU 2007-0A6 1A - CMO/RMBS		09/01/2021	Paydown		457,947	457,947	372,955	378,074		79,873		79,873		457,947				3,181	07/25/2047	1.D FM
92929Q-AQ-0	WASTE MANAGEMENT HOLDINGS INC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,247,680	1,000,000	1,272,996			(7,895)		(7,895)		1,265,101		(17,421)	(17,421)	45,558	08/01/2026	2.A FE
93364A-AB-8	WAMU 2007-0A3 2A - CMO/RMBS		09/01/2021	Paydown		241,197	214,833	174,934	184,204		56,993		56,993		241,197				1,519	04/25/2047	1.D FM
939336-DH-3	WAMU 2002-AR14 A2 - CMO/RMBS		09/01/2021	Paydown		36	36	29	30		7		7		36				1	11/25/2032	1.D FM
93934F-OP-0	WMALT 2006-AR3 A1A - CMO/RMBS		09/01/2021	Paydown		31,404	32,449	17,377	19,478		11,926		11,926		31,404				273	05/25/2046	1.D FM
93935H-AF-4	WMALT 2006-7 A3 - RMBS		09/01/2021	Paydown		111,794	111,794	47,339	41,747		70,047		70,047		111,794				1,322	09/25/2036	1.D FM
94985K-AA-5	WFALT 2007-PAG A1 - CMO/RMBS		09/01/2021	Paydown		139,706	141,594	120,696	118,100		21,606		21,606		139,706				2,739	12/28/2037	1.D FM
94988G-AQ-6	WFALT 2012-RR2 3A2 - CMO/RMBS		09/29/2021	Paydown		965,460	871,525	573,865	669,074		296,386		296,386		965,460				1,362	03/27/2047	1.D FM
95040Q-AC-8	WELTOWER INC		09/20/2021	J.P. MORGAN SECURITIES INC.		1,124,380	1,000,000	1,130,962			(4,368)		(4,368)		1,126,594		(2,214)	(2,214)	20,188	04/01/2026	2.A FE
95040Q-AL-8	WELTOWER INC		09/28/2021	UniCredit Bank		252,073	245,000	258,146			(225)		(225)		257,920		(5,847)	(5,847)	1,404	01/15/2031	2.A FE
960386-AM-2	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP		09/28/2021	SUSQUEHANNA FINANCIAL GROUP LLP		1,150,489	1,001,000	1,176,193			(4,060)		(4,060)		1,172,133		(21,644)	(21,644)	26,839	09/15/2028	2.C FE
960413-AS-1	WESTLAKE CHEMICAL CORP		09/28/2021	Jane Street		1,248,170	1,000,000	1,293,784			(1,343)		(1,343)		1,292,441		(44,271)	(44,271)	31,250	08/15/2046	2.B FE
960413-AZ-5	WESTLAKE CHEMICAL CORP		09/29/2021	SG AMERICAS SECURITIES, LLC		10,949,400	11,550,000	11,071,253			1,085		1,085		11,072,338		(122,938)	(122,938)	41,107	08/15/2051	2.B FE
961214-DF-7	WESTPAC BANKING CORP	C	09/20/2021	J.P. MORGAN SECURITIES INC.		1,108,910	1,000,000	1,118,292			(3,205)		(3,205)		1,115,087		(6,177)	(6,177)	14,287	11/23/2031	2.A FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					125,070,221	118,171,583	122,035,171	14,744,390		2,990,419	2,217	2,988,202		125,968,320		(898,099)	(898,099)	1,381,946	XXX	XXX
00845W-AD-0	AGFIRST FARM CREDIT BANK		08/11/2021	PIPER SANDLER & CO.		1,475,000	2,000,000	1,380,000			973		973		1,380,973		94,027	94,027	4,094	06/29/2049	1.A
064058-AB-6	BANK OF NEW YORK MELLON CORP		08/04/2021	CITIGROUP GLOBAL MARKETS, INC		160,631	155,000	160,348			(68)		(68)		160,280		351	351	891	12/29/2049	2.A FE
902973-AZ-9	US BANCORP		08/04/2021	CITIGROUP GLOBAL MARKETS, INC		74,267	65,000	74,344			(37)		(37)		74,307		(40)	(40)	1,062	12/29/2049	2.A FE
4899999	Subtotal - Bonds - Hybrid Securities					1,709,898	2,220,000	1,614,691			868		868		1,615,560		94,339	94,339	6,047	XXX	XXX
09539#-AD-9	BLUE_EAGLE_18-1_D (BBB_RATED)		07/15/2021	Direct		147,287	147,287	147,287	147,287						147,287				6,189	05/23/2034	2.B PL
09593#-AC-4	BLUE_EAGLE_18-1_C (A_RATED)		07/15/2021	Direct		71,817	71,817	71,817	71,817						71,817				1,549	05/23/2034	1.F
26362#-AA-1	DUBLIN ASSET HOLDINGS - ABS		08/23/2021	Direct		178,598	178,598	178,598	178,598						178,598				1,211	11/30/2067	1.F
26362#-AB-9	DUBLIN ASSET HOLDINGS A2 - ABS		08/23/2021	Direct		132,040	132,040	132,040	132,040						132,040				895	11/30/2067	1.F
86A00Z-AJ-5	INFINITY LD ASSET HOLDINGS LLC A7 - ABS		09/15/2021	Direct		70,924	70,924	70,924	70,924						70,924				1,391	12/31/2067	1.F
8299999	Subtotal - Bonds - Unaffiliated Bank Loans					600,666	600,666	600,666	600,666						600,666				11,235	XXX	XXX

E05.4

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE First Allmerica Financial Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recog- nized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
8399997. Total - Bonds - Part 4						226,996,829	209,014,263	221,443,368	28,078,709						224,767,222		2,229,607	2,229,607	2,649,275	XXX	XXX	
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						226,996,829	209,014,263	221,443,368	28,078,709						224,767,222		2,229,607	2,229,607	2,649,275	XXX	XXX	
..665859-AQ-7	NORTHERN TRUST CORP		08/04/2021	CITIGROUP GLOBAL MARKETS, INC	272,000,000	302,247	0.00	300,924						300,924		1,323	1,323				2.A FE	
..693475-AQ-8	PNC FINANCIAL SERVICES GROUP INC		08/04/2021	CITIGROUP GLOBAL MARKETS, INC	65,000,000	73,585	0.00	73,437						73,437		148	148				2.B FE	
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						375,832	XXX	374,361						374,361		1,471	1,471			XXX	XXX	
8999997. Total - Preferred Stocks - Part 4						375,832	XXX	374,361						374,361		1,471	1,471			XXX	XXX	
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						375,832	XXX	374,361						374,361		1,471	1,471			XXX	XXX	
9799997. Total - Common Stocks - Part 4							XXX														XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX														XXX	XXX
9899999. Total - Preferred and Common Stocks						375,832	XXX	374,361						374,361		1,471	1,471			XXX	XXX	
9999999 - Totals						227,372,661	XXX	221,817,728	28,078,709						225,141,583		2,231,078	2,231,078	2,649,275	XXX	XXX	

E05.5

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23								
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Received (Paid)	Index	Cumulative Price Change (Received) (Paid)	Current Year Initial (Received) (Paid)	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)							
<b>NONE</b>															XXX														XXX	XXX
175999999 - Totals																														

(a)

Code	Description of Hedged Risk(s)

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

**SCHEDULE DB - PART B - SECTION 1**

Futures Contracts Open as of the Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	Highly Effective Hedges			18	19	20	21	22		
														15	16	17							
Ticker Symbol	Number of Contracts	Notional Amount	Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Date of Maturity or Expiration	Exchange	Trade Date	Transaction Price	Reporting Date Price	Fair Value	Book/ Adjusted Carrying Value	Cumulative Variation Margin	Deferred Variation Margin	Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item	Cumulative Variation Margin for All Other Hedges	Change in Variation Margin Gain (Loss) Recognized in Current Year	Potential Exposure	Hedge Effectiveness at Inception and at Quarter-end (b)	Value of One (1) Point		
ESZ1	7	1,552,207	EMINI S&P DEC1	Fixed Index Annuity	Annual Exh 5 Reserve	Equity/Index	12/17/2021	Chicago Mercantile	09/15/2021	4,434.8774	4,296.7500	(48,345)	(48,345)	(48,345)					80,500	XXX	XXX		
1539999999. Subtotal - Long Futures - Hedging Other													(48,345)	(48,345)	(48,345)					80,500	XXX	XXX	
1649999999. Subtotal - Short Futures																						XXX	XXX
1679999999. Subtotal - SSAP No. 108 Adjustments																						XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																						XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																						XXX	XXX
1709999999. Subtotal - Hedging Other													(48,345)	(48,345)	(48,345)					80,500	XXX	XXX	
1719999999. Subtotal - Replication																						XXX	XXX
1729999999. Subtotal - Income Generation																						XXX	XXX
1739999999. Subtotal - Other																						XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives																						XXX	XXX
1759999999 - Totals													(48,345)	(48,345)	(48,345)					80,500	XXX	XXX	

NONE

Broker Name	Beginning Balance	Cumulative Cash Change	Ending Cash Balance
Total Net Cash Deposits			

(a)

Code	Description of Hedged Risk(s)

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period



**SCHEDULE DB - PART D - SECTION 2**

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
<b>NONE</b>								
019999999 - Total							XXX	XXX

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
JPMORGAN CHASE BANK, N.A.	Cash			480,000		XXX		IV
029999999 - Total				480,000		XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**



